

## - CRAD FORMAT -

**TITLE:** Suspect/Counterfeit Items

### OBJECTIVE

**WPC:** (State the objective of the CRAD.)

*A process has been established and is utilized to effectively ensure items and services meet specified requirements; prevent entry of Suspect/Counterfeit Items (S/CIs) into the DOE supply chain; and ensure detection, control, reporting, and disposition of S/CIs.*

**CRITERIA:** (State the individual requirements that you will be assessing including lines of inquiry for each element.)

Example:

1. *Roles, responsibilities, and training are in place to ensure that there is an identified position responsible for S/CI activities and that managers, supervisors, and workers are aware of S/CI processes and controls.*

*LOI – Is there a S/CI oversight and prevention process commensurate with the facility/activity hazards and mission impact?*

*LOI – Is there a position identified that is responsible for S/CI activities?*

*LOI – Is there evidence of training informing managers, supervisors, and workers on S/CI processes and controls (including prevention, detection, and disposition of S/CIs)?*

2. *Procedures and/or mechanisms are in place which prevents the introduction of S/CIs into DOE work.*

*LOI – Do procedures adequately describe the process for identifying and placing engineering technical and QA requirements in procurement specifications?*

*LOI – Do procedures adequately describe the process for accepting only those items that comply with procurement specifications?*

*LOI - Do procedures and/or mechanisms describe the process for inspecting storage areas, safety applications and other applications that create potential hazards to identify, control, and disposition for S/CIs?*

3. *Engineering evaluations are used to evaluate and disposition identified S/CIs.*

*LOI – Are engineering evaluations used to determine if S/CIs installed in non-safety or safety applications pose potential safety hazards or may remain in place?*

### APPROACH:

**Record Reviews:** (List of documents with title, date, revision)

**Interviews:** (List of interviews conducted by functional title)

**Field and/or Work Activities Reviewed:** (If any)

### DISCUSSION OF RESULTS:

**CONCLUSION:** (The objectives were met/not met)

**Concern:**

**Finding:**

**Comment:**