



Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Procedure Development

Revision: 1

Effective Date is 3 days after the date of approval

Prepared By: *Signature on File* 05/26/16
Robert Hasson **Date**
Ash Fall Project QA Lead

Approved By: *Signature on File* 05/26/16
Kelly Ebert **Date**
ORP Ash Fall Project Engineer

Concurrence: *Signature on File* 05/31/16
Ken Armstrong **Date**
EMCBC Assistant Director,
Office of Technical Support and Asset Management

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

1.0 PURPOSE

The purpose of this procedure is to establish responsibilities and provide a uniform method for the preparation, review, approval, revision, and distribution of implementing documents.

2.0 SCOPE

The scope of this procedure is implementing documents for the Department of Energy Environmental Management Consolidated Business Center (EMCBC) Ash Fall Project supporting the Office of River Protection (ORP) Program.

3.0 APPLICABILITY

This procedure applies to EMCBC personnel and contractors that participate in Ash Fall Project activities supporting the ORP Program.

4.0 REQUIREMENTS and REFERENCES

4.1 Requirements

4.1.1 EM-QA-001, *EM Quality Assurance Program (QAP)*

4.1.2 ASME NQA-1-2008/2009a, *Quality Assurance Requirements for Nuclear Facility Applications*

4.2 References

4.2.1 AFP-QAPP-01, *Quality Assurance Project Plan (QAPP)*

4.2.2 AFP-AP-13, *Document Review*

4.2.3 AFP-AP-14, *Document Control*

4.2.4 AFP-AP-20, *Quality Assurance Records*

5.0 DEFINITIONS and ACRONYMS

5.1 Document Preparer – an Ash Fall Project Staff individual who writes or originates the implementing document.

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

- 5.2 Implementing Document – a document with a specified series of actions or operations that have to be executed in the same manner in order to obtain the same result under the same circumstances.

6.0 RESPONSIBILITIES

- 6.1 Document Preparer (Ash Fall Project Staff)
 - 6.1.1 Responsible for the writing and assembly of implementing documents.
 - 6.1.2 Responsible for processing the review of implementing documents.
- 6.2 ORP Ash Fall Project Engineer, Office of River Protection
 - 6.2.1 Responsible for the approval of implementing documents.
- 6.3 EMCBC Assistant Director, Office of Technical Support and Asset Management
 - 6.3.1 Responsible for the concurrence of implementing documents.
- 6.4 EMCBC Coordinator, Office of Technical Support and Asset Management
 - 6.4.1 Responsible for maintaining configuration control, change control, and coordination of periodic reviews of implementing documents.
 - 6.4.2 Responsible for the maintenance/use, storage, protection, retrieval and final disposition of implementing documents.

7.0 GENERAL INFORMATION

- 7.1 As used within the implementing documents, the words **shall/will/must** are used to denote a requirement or a required action. In addition, active verbs are used to denote a requirement or a required action. The word **should** denotes a recommendation or best management practice.

8.0 PROCEDURE

- 8.1 Assembly and Format of New/Revised Implementing Documents
 - 8.1.1 Document Preparer shall draft implementing documents using the instructions and formats in Attachment A. In addition, the following requirements shall be applied to the content of implementing documents:

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

- 8.1.1.1 Responsibilities and organizational interfaces of the organizations affected by the implementing document.
- 8.1.1.2 Quantitative and/or qualitative acceptance criteria for determining that prescribed activities have been satisfactorily accomplished and that prescribed results have been satisfactorily attained.
- 8.1.1.3 Identification of the QA Records generated by the implementing document.
- 8.1.2 The Document Preparer shall coordinate with the EMCBC Coordinator to determine the document identifiers as needed.
- 8.1.3 The Document Preparer shall prepare a review draft of the new/revised implementing document.
- 8.1.4 The Document Preparer shall use an alphabet designator to denote drafts (e.g., DRAFT A for the review draft, DRAFT B for the concurrence draft, etc.).
- 8.2 Review of Draft Implementing Documents
 - 8.2.1 The Document Preparer submits the review draft of the implementing document for review per AFP-AP-13, *Document Review*.
 - 8.2.2 The Document Preparer incorporates the responses to comments resulting from AFP-AP-13, *Document Review* into the concurrence draft of the implementing document.
 - 8.2.3 If each of the comments are agreed to and incorporated by the preparer, then the procedure can be sent directly for approval and concurrence. Additionally, the AFP-AP-13, *Document Review* Form 13-1 Document Review and Comment Record Block 17, Comment Resolution and Review Concurrence signature is then optional if there were no comments or the comments were accepted and incorporated.
- 8.3 Final Approval and Processing of Implementing Documents
 - 8.3.1 The Document Preparer shall forward the concurrence draft of the implementing document to the EMCBC Coordinator after the Document

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Review per AFP-AP-13, *Document Review* is complete and the review comments are resolved to the satisfaction of the Document Reviewer.

8.3.2 The Document Preparer shall complete Form 12-1 and indicate the effective date for the implementing document and forward Form 12-1 to the EMCBC Coordinator for inclusion with the final draft for approval and concurrence.

8.3.3 The EMCBC Coordinator will finalize the draft of the implementing document for approval by the ORP Ash Fall Project Engineer and concurrence by the EMCBC Assistant Director. The EMCBC Coordinator will remove the Draft designator text from the implementing document as well as input the effective date on the cover page.

Note: The Effective Date for the cover page of the implementing document is the same effective date established on the completed Form 12-1. The effective date is 3 days after the EMCBC Assistant Director's concurrence signature on the cover page.

8.3.4 The EMCBC Coordinator shall forward to the Document Preparer the implementing document that is ready for approval and concurrence by the ORP Ash Fall Project Engineer and the EMCBC Assistant Director.

8.3.5 The Document Preparer shall obtain the approval signature of the ORP Ash Fall Project Engineer.

8.3.6 The Document Preparer shall obtain the concurrence signature from the EMCBC Assistant Director.

8.3.7 The Document Preparer shall forward the implementing document with the approval and concurrence signatures to the EMCBC Coordinator for inclusion into the records package per §9.0 of this procedure.

8.3.8 The EMCBC Coordinator shall post the approved implementing document in accordance with AFP-AP-14, *Document Control*.

8.4 Cancellation of Implementing Documents

8.4.1 For those implementing documents that are no longer needed, the Document Preparer shall indicate in an appropriate manner (e.g., Watermark, Stamp, etc.) the word "Cancelled" on the cover page of implementing document requiring cancellation.

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

- 8.4.2 The Document Preparer shall complete Form 12-1, and provide a justification for the cancellation under the description of changes and indicate the effective date for the cancellation.
- 8.4.3 The Document Preparer shall obtain approval and concurrence signatures per §8.3.5 and §8.3.6 and then send the implementing document and Form 12-1 to the EMCBC Coordinator.
- 8.4.4 The EMCBC Coordinator shall process the cancellation of the implementing documents per AFP-AP-14, *Document Control*.
- 8.4.5 The EMCBC Coordinator shall assemble the records package per §9.0 of this procedure and shall remove the implementing documents from the EMCBC website of the Ash Fall Project supporting the ORP Program.

9.0 RECORDS MAINTENANCE

- 9.1 No records are generated as a result of implementing this document. The forms used from this procedure as well as the approved implementing document will be processed and controlled per AFP-AP-14, *Document Control*. However, as required by AFP-AP-14, this approved procedure in its entirety shall be submitted by the EMCBC Coordinator to records in accordance with AFP-AP-20, *Quality Assurance Records*.

10.0 FORMS USED

Form 12-1 – Record of Revision (including Instructions)

11.0 ATTACHMENTS

Attachment A – Administrative Procedure (AP) Format

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Attachment A **Administrative Procedure (AP) Format**

1.0 PURPOSE

Develop a “PURPOSE” statement to describe the intent of the procedure. A procedure is a specified series of actions or operations which have to be executed in the same manner in order to always obtain the same result under the same circumstances to be followed to meet stated objectives, Policy Statements, DOE Orders and Directives, or government regulations.

2.0 SCOPE

Include a statement of purpose establishing the limitations or parameters of the procedure, what it applies to and to what it applies (i.e., receipt of incoming material, excess capital equipment, vendor drawings, etc.)

3.0 APPLICABILITY

Define who shall comply with said procedures.

4.0 REQUIREMENTS and REFERENCES

4.1 Requirements

Identify and list requirements (drivers) such as DOE, federal, state, and local codes, rules, regulations, and laws, that apply to the implementation of the procedure. Include document number and title. Avoid inclusion of revision number or date of document whenever possible.

4.2 References

Identify and list reference documents that have been mentioned in the procedure. Include document number and title.

5.0 DEFINITIONS and ACRONYMS (Optional)

Identify those terms and statements contained in the procedure that require definition for uniform interpretation and clarity. Include any acronyms or abbreviations that are specific in the procedure. If this section is not required, then state “Not Applicable.”

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

6.0 RESPONSIBILITIES

Identify the managerial position or function (not the name of the individual) responsible for taking action and as appropriate, the groups associated with the responsibility to execute the appropriate procedural requirements contained therein.

7.0 GENERAL INFORMATION (Optional)

Include supplementary background information associated with the orderly implementation of this policy or procedure. This section will NOT always be required; however, as appropriate, this section should be a normal extension of the Purpose statement. If this section is not required, then state "Not Applicable" in this section.

8.0 PROCEDURE

This section is an orderly, step-by-step, and logical description of instructions detailing the actions and requirements needed to complete the procedure. The instructions should identify the individuals responsible for performing the actions.

9.0 RECORDS MAINTENANCE

The following is an example of a Records Maintenance section. This information shall appear in each Records Maintenance section. Additional information may be included to further clarify the Records Maintenance section.

The forms and records generated through implementation of this procedure shall be prepared and submitted in accordance with AFP-AP-20, *Quality Assurance Records*.

OR

9.0 RECORDS MAINTENANCE

No records are generated as a result of implementing this document.

10.0 FORMS USED

This section shall list the forms used in the procedure. An example of the forms shall be included as part of the procedure.

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

11.0 ATTACHMENTS

All attachments shall be listed in this section and shall contain the unique designator numbers and titles. Each attachment shall be alphabetically labeled starting with A, B, C, etc., in consecutive order.

Environmental Management Consolidated Business Center
Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Form 12-1 – Record of Revision

DOCUMENT:

Revision Number	Description of Changes	Revision on Pages	Effective Date

Environmental Management Consolidated Business Center Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Form 12-1 – Record of Revision Form Instructions

Document:

Indicate the document identifier and title for the implementing document, as applicable.

Revision Number

Revisions to documents shall be numbered sequentially beginning with the number zero (0) and shall increase by one (1) with each change to the document.

Description of Changes

If possible, indicate the specific changes made to the implementing procedure. For extensive changes, indicate “General Revision.” If the procedure is being cancelled, then provide the justification for the cancellation.

Revision on Pages

Indicate the pages that have been changed with the revision as applicable. If it is a general revision, indicate “All.”

Effective Date

Indicate the effective date of the revision (or cancellation) of the implementing document.

Environmental Management Consolidated Business Center
Ash Fall Project

Procedure Development

Procedure: AFP-AP-12
Revision 1, 06/03/16

Form 12-1 – Record of Revision

DOCUMENT: AFP-AP-12, *Procedure Development*

Revision Number	Description of Changes	Revision on Pages	Effective Date
0	Initial Issue	All	12/12/2015
1	Revised to clarify review process.	4,5,6	06/03/2016