

Attachment J-3
List of Deliverables

The following Contract Deliverables summarize the specific products the Contractor shall submit to the U.S. Department of Energy (DOE), the type of action DOE will perform, the associated DOE response time, and the date/timeframe that the Contractor is required to submit the product over the life of the project. When no revision is necessary to a document, the DOE shall be notified by the contractor that no revision is necessary. The DOE reserves the right to require a revision or review where the table denotes that deliverables are to be updated “as necessary”.

All “days” stated herein are calendar days. The DOE review period for Contract Deliverables shall be 30 days unless otherwise specified. Omission of applicable deliverables from this Section J Attachment does not affect the obligation of the Contractor to submit required deliverables pursuant to this section or other sections of this Contract. **Information about Contract Deliverables specific to each task order, including specific Deliverable Due dates, will be filled into the task order’s Table J-4.**

The DOE action is defined as:

- **Approve** – The Contractor shall provide the deliverable to DOE for review and approval. DOE will review the deliverable and provide comments in writing. DOE will discuss the comments with the Contractor, and the Contractor shall provide written responses. The Contractor shall rewrite the document to incorporate DOE mandatory comments and resubmit for DOE approval. Once approved by DOE, the deliverable shall be placed under change control, and no changes shall be made without DOE approval.
- **Review** – The Contractor shall provide the deliverable to DOE for review and comment. DOE will have the option to review the information and provide comment. The Contractor shall respond to written comments.
- **Information** – The Contractor shall provide the deliverable for information purposes only. DOE will have the option of reviewing the information and providing comments. Such comments do not require resolution under the Contract.

Table J-4 – Deliverables

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
1.	Transition Plan	Approve	As required at Task Order (TO) level (hereafter, “As Required”)	Section C.07
2.	Incumbent-Successor Contractor Mutual Detailed Plan for Phase-Out and Phase-In Operations	Approve	As Required	FAR 52.237-3, <i>Continuity of Services</i> (See also incumbent contract Section H.21)
3.	Workforce Transition Plan, including lists, descriptions, drafts, etc. as stated in Section H Clause	Approve	As Required	Section H.7, <i>Workforce Transition: Plans and Timeframes</i> ; DEAR 952.226-74, <i>Displaced Employee Hiring Preference</i>

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
4.	Notification of intent to adopt existing programs and/or procedures	Information	As Required	Section C.07
5.	Graded Approach for Implementation of Contract Requirements Plan	Approve	Initial Submittal As Required; update as necessary upon DOE issuance of Requests for TO Proposal (RTPs)	Section C.07
6.	Declaration of acceptance of responsibility for assigned scope, systems, and environmental/regulatory conditions	Information	As Required	Section C.07
7.	Facilities & Grounds Operations Maintenance Plan	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.1; FAR 52.245-1 <i>Government Property</i>
8.	Waste Management Plan	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.2
9.	Transportation Plan	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.3
10.	Lifecycle Baseline (LCB)	Approve	As Required	Section C.2.1
11.	Integrated Master Plan (IMP)	Review	As Required	Section C.3.4
12.	Integrated Master Schedule (IMS)	Review	As Required	Section C.3.4
13.	Weekly Project Status Report: Narrative report on project status and issues, including data on tons of RRM excavated, shipped and disposed.	Information	Initial Submittal As Required; then Close of Business each Friday	Section C.4.2
14.	Earned Value Management System Description	Approve	As Required	Section C.2.2
15.	Performance Measurement Baseline	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.2.2

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
16.	Monthly Progress/Performance Report	Information	Initial Submittal As Required; then by the 15th of each month	Section C.3.1
17.	Risk Management Plan	Approve	As Required	Section C.3.5
18.	Worker Safety and Health Program	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.4.4, Section H.27, 10 CFR 851
19.	Health and Safety Plan	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.4.4, 29 CFR 1910.120
20.	Integrated Safety Management System (ISMS) Description	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.4.5, DEAR 970.5223-1, <i>Integration of Environmental Safety, and Health into Work Planning and Execution</i>
21.	Radiation Protection Program (RPP)	Approve	Initial Submittal As Required; then update whenever a change or addition is made, prior to initiation of a task not within the scope of the RPP, or within 180 days of the effective date of modification to 10 CFR 835	Section C.4.4.6, 10 CFR 835
22.	Safety Basis Documents	Approve	As Required	Section C.4.4.4; 10 CFR 830 Subpart B, DOE O 420.1
23.	Continuity of Operations Plan (COOP)	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.4.4, DOE O 150.1
24.	Quality Assurance Plan, including graded approach	Approve	Initial Submittal As Required; then update annually, also update as necessary	Section C.4.4.7, DOE O 414.1 10 CFR 830
25.	Proposed design modifications or changes	Review	As necessary, and prior to implementing any design modifications or changes	Section C.4.4.7
26.	Contractor Assurance System Description	Approve	As Required	Section C.4.4.7, DOE O 226.1
27.	Contractor Assurance System Report	Information	Quarterly. Six weeks after quarter ends	Section C.4.4.7,

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
				DOE O 226.1
28.	Quarterly Performance Analysis Report	Information	Quarterly. Six weeks after quarter ends	DOE O 210.2
29.	Interim Completion Report	Information	Annually, No Later Than (NLT) anniversary date of Contract award	Section C.4.2.5
30.	List of Electronic Information Systems	Information	Annually NLT anniversary date of Master IDIQ Contract award	Section C.4.4.8
31.	Emergency Management Program Plan	Approve	Initial Submittal As Required; reviewed and documented annually; updated as necessary but no greater than every 3 years	Section C.4.4.4, DOE O 151.1
32.	Fire Protection Program	Approve	Initial Submittal As Required; as necessary	Section C.4.4.4, DOE O 420.1
33.	Emergency Readiness Assurance Plan (ERAP)	Approve	Annually NLT anniversary date of Master IDIQ Contract award	DOE O 151.1
34.	Final Status Survey Plan	Approve	As Required	Section C.05
35.	Remedial Action Reports	Approve	As Required	Section C.05
36.	Any data or reports at DOE direction, including requests from the DOE Chief Financial Officer and Office and Environmental Management (EM)	Review	As requested	Section C.2.1
37.	Environmental Management System Manual information	Information	Initial Submittal As Required; then update annually	Section C.4.4.4 DOE O 436.1
38.	Site Sustainability Plan information	Information	Initial Submittal As Required; then update as necessary	Section C.4.4.4 DOE O 436.1
39.	Integrated Assessment Schedule	Review	Annually, update quarterly (exact dates to be coordinated with DOE)	Section C.4.4.7
40.	Annual Site Environmental Report (ASER) information	Information	Annually (exact date to be coordinated with DOE)	Section C.4.4.4
41.	Permits and Agreements List	Information	Annually (exact date to be coordinated with DOE)	Section C.4.3

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
42.	Continuity Readiness Assurance Report (CRAR)	Approve	Annually (exact date to be coordinated with DOE)	DOE O 150.1
43.	Federal Contractor Veterans' Employment Report (VETS-4212)	Information	(See clause)	FAR 52.222-37, <i>Employment Reports on Veterans</i>
44.	Equal Employment Opportunity Report (EEO-1)	Information	(See clause)	FAR 52.222-26, <i>Equal Opportunity</i>
45.	Certificate of Insurance	Information	(See clause)	FAR 52.228-5, <i>Insurance – Work on a Government Installation</i>
46.	Workers' compensation policies and initial proposals for self-insurance	Approve	As Required; then upon each renewal of policies	Section H.11 (Cost Reimbursement TOs only)
47.	Copy of Insurance Policies or Insurance Arrangements	Information	As Required	Section H.12 (Cost Reimbursement TOs only)
48.	Insurance Cost, Self-Insurance Charges, and Experience Report	Information	As Required	Section H.12 (Cost Reimbursement TOs only)
49.	Contractor Electronic Funds Transfer Information Contained in System for Award Management (SAM) Database	Information	(See clause)	FAR 52.232-33 <i>Payment by Electronic Funds Transfer-System for Award Management</i>
50.	Safety Management System Performance Objectives, Measures, and Commitments	Approve	Annually NLT anniversary date of Master IDIQ Contract award	DEAR 970.5223-1
51.	CONOPS Program Requirements Matrix	Approve	Initial Submittal As Required; then updated as significant changes are made but no greater than every 3 years	Section C.4.4.13 DOE O 422.1
52.	Annual Fire Protection Summary Information	Review	Annually by February 15th	DOE O 231.1
53.	Employee Concerns Program	Approve	Within 90 days of Master IDIQ Contract effective date	Section C.4.4.11, DOE O 442.1
54.	Employee Concerns Status Reports	Information	Quarterly	Section C.4.4.11

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
55.	Labor Standards Determination Request and Proposed Work Packages	Review	Prior to work commencing, with allowance for Contracting Officer determination response	Section H.10; DOE Order 350.3, <i>Labor Standards Compliance</i>
56.	Semi-Annual Davis Bacon Enforcement Report	Information	Semi-annually by April 21st and October 21st	Section H.10
57.	Diversity Plan and Reports	Information	Initial Submittal As Required; then updated annually	Section H.23
58.	Business Ethics Awareness and Compliance Program	Information	(See clause)	FAR 52.203-13, <i>Contractor Code of Business Ethics and Conduct</i>
59.	Workplace Substance Abuse Program Plan	Approve	Within 90 days of Master IDIQ Contract effective date	DOE O 350.1, <i>Contractor Human Resource Management Programs</i>
60.	Workplace Substance Abuse Program Reports	Information	Semi-annually by July 30th and January 30th	DOE O 350.1, <i>Contractor Human Resource Management Programs</i>
61.	Employee Assistance Program Implementation Plan and Reports	Approve	Within 90 days of Master IDIQ Contract effective date	DOE O 350.1, <i>Contractor Human Resource Management Programs</i>
62.	Reporting Executive Compensation and First Tier Subcontract Awards	Information	(See clause)	FAR 52.204-10 <i>Reporting Executive Compensation and First-Tier Subcontract Awards</i>
63.	Requests for Information Supporting OMB Circular No. A-123	Review	As requested	DOE O 226.1
64.	Conference Management Request Forms and Conference Management Reports	Review	90 days prior to attendance for each conference request (120 days prior if hosting); no later than 10 days following the conference for reporting conference actual costs unless otherwise specified	Section H.34
65.	Contractor Employee Compensation Plan	Approve	As requested	Section H.5(a) and (b)
66.	Contractor Salary-Wage Increase Expenditure Report	Information	As Required	Section H.5(c) (Cost Reimbursement TOs only)

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
67.	Compensation and Benefits Report	Information	As Required	Section H.5(c) (Cost Reimbursement TOs only)
68.	Employee Benefits Value Study Method	Approve	As Required	Section H.5(e) (Cost Reimbursement TOs only)
69.	Employee Benefits cost study Comparison	Approve	As Required	Section H.5(e) (Cost Reimbursement TOs only)
70.	DOE Form 3220.5, “Application for Contractor Compensation Approval”, or data, for each Key Personnel position	Information	As requested	Section H.6
71.	Organization Conflict of Interest Management Plan	Approve	As Required	Section H.21 DEAR 952.209-72, <i>Organizational conflicts of interest</i>
72.	Partnering Agreement	Approve	Annually NLT anniversary date of Master IDIQ Contract award	Section H.48
73.	Litigation Management Plan	Review	As Required	Section H.51 10 CFR 719
74.	Records and essential (vital) record inventories	Information	Annually NLT anniversary of Master IDIQ Contract award	Section C.4.4.8
75.	Service Contract Report in the System for Award Management (SAM)	Review	Annually by October 31 for preceding Government Fiscal Year	FAR 52.204-15, <i>Service Contract Reporting Requirements for Indefinite-Delivery Contracts</i>
76.	Report of Performance of Work by the Contractor or a Subcontractor	Review	As Required	FAR 52.215-23, <i>Limitations on Pass-Through Charges</i>
77.	Payroll Submittal and Accompanying Statement of Compliance	Review	As Required	FAR 52.222-8, <i>Payrolls and Basic Records</i>
78.	Property Reports	Information	As requested; as necessary in TO proposals	Sections C.4.4.10, C.4.4.12 FAR 52.245-1 <i>Government Property</i> , 41 CFR 102, 41 CFR 109;

Deliverable Number	Deliverable	DOE Action	Deliverable Due*	Contract Requirements
79.	Representation of Contractor’s size and socioeconomic status in the System for Award Management (SAM) and notification to the contracting officer in writing that the data have been validated or updated [or submittal of the information required at FAR 52.219-28(h) to the contracting officer]	Information	Within 60 to 120 days prior to the fifth anniversary of Master IDIQ Contract award, and within 30 days after execution of a novation agreement (or within 30 days after a merger or acquisition that does not require a novation)	FAR 52.219-28, <i>Post-Award Small Business Program Rerepresentation</i>
<p>Notes:</p> <p>*The Contractor shall notify DOE of documents which have been reviewed as required above (e.g., “update annually”) but do not require updates or revisions. DOE may request document reviews or updates in addition to the schedule provided above.</p> <p>CFR = Code of Federal Regulations FAR = Federal Acquisition Regulation CONOPS = Conduct of Operations FY = Fiscal Year DOE = U.S. Department of Energy OMB = Office of Management and Budget DEAR = Department of Energy Acquisition RPP = Radiation Protection Program Regulations at 48 CFR 900-970</p>				