

Attachment J-3

Requirements Sources and Implementing Documents

List A. Applicable Federal, State, and Local Regulations

The federal, state, and local regulations found in the Contract constitute List A, *Applicable Federal, State, and Local Regulations*, referenced in the Section H clause *Laws, Regulations, and DOE Directives*. Omission of any applicable law or regulation from the Contract does not affect the obligation of the Contractor to comply with such law or regulation. List B below contains a list of applicable DOE Directives that are required for this Contract.

List B. Applicable DOE Directives

The DOE directives listed in the table below contain requirements relevant to the scope of work under this contract. In most cases, the requirements applicable to the contractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Contractor is encouraged to continuously evaluate the work scope and contract requirements for opportunities to improve efficiency or creativity and propose alternative methods to those specified in the DOE directives.

Directive No.	Directive Title
DOE O 130.1	Budget Formulation Process
DOE O 140.1	Interface with the Defense Nuclear Facilities Safety Board
DOE M 142.2-1 Admin Chg 1	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE O 142.3A Chg 1 (MinChg)	Unclassified Foreign Visits and Assignments Program
DOE O 144.1 Admin Chg 1	Department of Energy American Indian Tribal Government Interactions and Policy
DOE O 150.1A	Continuity Programs
DOE O 151.1D, Chg 1 (MinChg)	<p>Comprehensive Emergency Management System</p> <p>Clarification for DOE O 151.1D, Chg 1</p> <p><i>Building evacuation exercises at the Idaho National Laboratory (INL) are defined as evacuation drills. The term exercise implies having specific objectives and full documentation (e.g., a scenario package that includes objectives, scope, timelines, injects, controller instructions, and evaluation criteria). Drills have specific objectives and documentation but are not formally evaluated using the demonstration criteria and points of review. Drills provides supervised, “hands-on” training and are documented informally.</i></p> <p><i>Since the INL site is comprised of multiple facilities, the facility-specific requirement for annual exercises will be implemented on a site-wide basis. One site-wide exercise will be conducted annually with a specific facility identified and rotated such that each facility will be the initiating facility once every six years. Operational circumstances will be used to determine the initiating facility.</i></p> <p><i>The facility-specific requirement that all facilities must prepare an Emergency Public Information Plan and that the same plan can cover multiple facilities will be interpreted as including a site-wide plan and implemented on that basis.</i></p>

Directive No.	Directive Title
DOE O 153.1	Departmental Radiological Emergency Response Assets <i>Clarification for DOE O 153.1</i> <i>The Contractor is required to comply with Section 12 only of the CRD, which reads, “In the Event of an off-site radiological accident involving a nuclear weapon, special nuclear material, or classified components, contractors must cooperate with DOE in establishing a National Security Area to safeguard classified information, Restricted Data, equipment, and material.”</i>
DOE O 200.1A Chg 1 (MinChg)	Information Technology Management
DOE O 205.1C	Department of Energy Cyber Security Program
DOE O 206.1 Chg 1 (MinChg)	Department of Energy Privacy Program
DOE O 206.2	Identity, Credential, and Access Management (ICAM)
DOE O 210.2A	DOE Corporate Operating Experience Program
DOE O 221.1B	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	Cooperation with the Office of Inspector General
DOE O 225.1B	Accident Investigations
DOE O 226.1B	Implementation of Department of Energy Oversight Policy
DOE O 227.1A	Independent Oversight Program
DOE O 231.1B Admin Chg 1	Environmental, Safety, and Health Reporting
DOE O 232.2A, Chg 1 (MinChg)	Occurrence Reporting and Processing of Operations Information
DOE O 241.1B Chg 1 (AdminChg)	Scientific and Technical Information Management
DOE O 243.1B Chg 1 (AdminChg)	Records Management Program
DOE O 252.1A Admin Chg 1	Technical Standards Program
DOE O 350.1 Chg 6 (MinChg)	Contractor Human Resource Management Programs
DOE O 350.3 Chg1 (MinChg)	Labor Standards Compliance, Contractor Labor Relations, and Contractor Workforce Restructuring Programs
DOE O 410.2 Admin Chg 1	Management of Nuclear Materials
DOE O 412.1A Chg 1 (AdminChg)	Work Authorization System
DOE O 413.1B	Internal Control Program
DOE O 413.3B Chg 5 (MinChg)	Program and Project Management for the Acquisition of Capital Assets

Directive No.	Directive Title
DOE O 414.1D Chg 1 (AdminChg)	Quality Assurance
DOE O 420.1C, Chg3 (LtdChg)	Facility Safety <i>Clarification for DOE O 420.1C, Chg 2</i> <i>DOE Order 420.1C, Facility Safety, requires compliance with ANSI standards that have been replaced by updated versions. The Contractor is released from compliance with the outdated versions of the required ANSI standards. It is agreed that compliance will be the most current version of the required ANSI standards cited.</i>
DOE O 422.1 Chg 3 (MinChg)	Conduct of Operations
DOE O 425.1D Chg 2 (MinChg)	Verification of Readiness to Startup or Restart Nuclear Facilities
DOE O 426.1A Chg 1 (MinChg)	Federal Technical Capability Program
DOE O 426.2 Chg 1 (AdminChg)	Personnel Selection, Training, Qualification and Certification Requirements for DOE Nuclear Facilities
DOE O 430.1C Chg 1 (MinChg)	Real Property Asset Management
DOE O 433.1B Chg 1 (AdminChg)	Maintenance Management Program for DOE Nuclear Facilities
DOE O 435.1 Chg 1 Chg 1 (PgChg)	Radioactive Waste Management
DOE M 435.1-1 Chg 2 (AdminChg)	Radioactive Waste Management Manual
DOE O 436.1	Departmental Sustainability
DOE O 440.2C Chg 1 (AdminChg).	Aviation Management and Safety
DOE O 442.1B	Department of Energy Employee Concerns Program
DOE O 442.2 Chg 1 (PgChg)	Differing Professional Opinions for Technical Issues Involving Environment, Safety and Health
DOE O 458.1 Chg 3 (AdminChg)	Radiation Protection of the Public and the Environment
DOE O 460.1D	Packaging and Transportation Safety
DOE O 461.1C Chg 1 (MinChg)	Packaging and Transportation for Offsite Shipment of Materials of National Security Interest
DOE M 460.2-1A	Radioactive Material Transportation Practices Manual

Directive No.	Directive Title
DOE O 460.2A	Departmental Materials Transportation and Packaging Management
DOE P 470.1B	Safeguards and Security Program
DOE O 470.3C	Design Basis Threat (DBT) Order
DOE O 470.4B Chg 2 (MinChg)	Safeguards and Security Program
DOE O 470.5	Insider Threat Program
DOE O 470.6 Chg 1 (MinChg)	Technical Security Program
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information
DOE O 471.3 Chg 1 (AdminChg)	Identifying and Protecting Official Use Only Information
DOE M 471.3-1 Chg 1 (AdminChg)	Manual for Identifying and Protecting Official Use Only Information
DOE O 471.6 Chg 3 (AdminChg)	Information Security
DOE O 472.2 Chg 1 (PgChg)	Personnel Security
DOE O 473.3A Chg 1 (MinChg)	Protection Program Operations
DOE O 474.2 Chg 4 (PgChg)	<p>Nuclear Material Control and Accountability Clarification for DOE O 474.2 <i>Since safeguards and security functions for the Idaho Cleanup Project (ICP) Contract are largely performed by the prime operating contractor for the Idaho National Laboratory (INL), the Contractor will participate with the INL contractor in activities necessary to conform with the NE implementation methodology. Primarily, this includes continuity of operations under the requirements of DOE M 470.4-6, as established by the INL contractor's implementation approach.</i></p>
DOE O 475.1	Counterintelligence Program
DOE O 475.2B	Identifying Classified Information
DOE O 522.1A	Pricing of Departmental Materials and Services
DOE O 534.1B	Accounting
DOE O 550.1	Official Travel