### PART IV – REPRESENTATIONS AND INSTRUCTIONS

#### SECTION L

INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

**TABLE OF CONTENTS**

| L.1. | FAR 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998) | 1 |
| L.2. | FAR 52.252-5 AUTHORIZED DEVIATIONS IN PROVISIONS (NOV 2020) | 2 |
| L.4. | FAR 52.216-1 TYPE OF CONTRACT (APR 1984) | 2 |
| L.5. | DOE-L-2017 EXPENSES RELATED TO OFFEROR SUBMISSIONS (OCT 2015) | 2 |
| L.7. | DOE-L-2024 NOTICE OF INTENT – USE OF NON-FEDERAL EVALUATORS AND ADVISORS (OCT 2015) | 3 |
| L.8. | DOE-L-2025 INTENTION TO BID/PROPOSE (OCT 2015) | 3 |
| L.12. | DEAR 952.204-73 FACILITY CLEARANCE (AUG 2016) (DEVIATION) (Issued by DOE Policy Flash 2021-14) | 18 |
| L.14. | PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II - KEY PERSONNEL | 22 |
| L.15. | DOE-L-2010 PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II - PAST PERFORMANCE (OCT 2015) (REVISED) | 26 |
| L.16. | PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II – TECHNICAL AND MANAGEMENT PROPOSAL | 30 |
L.17. PROPOSAL PREPARATION INSTRUCTIONS, VOLUME III – COST AND FEE

PROPOSAL..................................................................................................................31

L.18. DEAR 970.5215-5 LIMITATION ON FEE (DEC 2000) ........................................34

L.19. DEAR 970.5209-1 REQUIREMENT FOR GUARANTEE OF PERFORMANCE (DEC 2000) ...35

L.20. DOE-L-2014 DATE, TIME, AND PLACE OFFERS ARE DUE (OCT 2015) ...............36

L.21. DOE-L-2016 NUMBER OF AWARDS (OCT 2015) ..................................................36

L.22. CONTACTS REGARDING FUTURE EMPLOYMENT ..............................................36

L.23. DOE-L-2020 SMALL BUSINESS SET-ASIDE INFORMATION (UNRESTRICTED).......36

L.24. DOE-L-2026 SERVICE OF PROTEST (OCT 2015) ..................................................36

L.25. DOE-L-2027 NOTICE OF PROTEST FILE AVAILABILITY (OCT 2015) ...............37

L.26. DOE-L-2028 AGENCY PROTEST REVIEW (OCT 2015) .....................................37

L.27. FAR 52.225-10 NOTICE OF BUY AMERICAN REQUIREMENT – CONSTRUCTION

MATERIALS (MAY 2014) ...............................................................................................37

L.28. FAR 52.225-12 NOTICE OF BUY AMERICAN REQUIREMENT – CONSTRUCTION

MATERIALS UNDER TRADE AGREEMENTS (MAY 2014) .....................................39

L.29. LIST OF SECTION L ATTACHMENTS .......................................................................40

Attachment L-1: Performance Guarantee Agreement .................................................41

Attachment L-2: Key Personnel Standard Resume Format .......................................44

Attachment L-3: Past Performance Reference Information Form ..............................45

Attachment L-4: Past Performance Cover Letter and Questionnaire .........................49

Past Performance Questionnaire ..............................................................................49

Attachment L-5: List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions .........................................................54

Attachment L-6: Cost and Fee Proposal Worksheets ..................................................55

Attachment L-7: List of DOE Contracts ....................................................................56

Attachment L-8: Offeror’s Proposed Accounting System Information .......................57
L.1. FAR 52.252-1 SOLICITATION PROVISIONS INCORPORATED BY REFERENCE (FEB 1998)

This solicitation incorporates one or more solicitation provisions by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. The Offeror is cautioned that the listed provisions may include blocks that must be completed by the Offeror and submitted with its quotation or offer. In lieu of submitting the full text of those provisions, the Offeror may identify the provision by paragraph identifier and provide the appropriate information with its quotation or offer. Also, the full text of a solicitation provision may be accessed electronically at these addresses:

https://www.acquisition.gov/far/

<table>
<thead>
<tr>
<th>FAR/DEAR Reference</th>
<th>Title</th>
<th>Fill-In Information; See FAR 52.104(d)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FAR 52.204-7</td>
<td>System for Award Management (Oct 2018)</td>
<td></td>
</tr>
<tr>
<td>FAR 52.204-16</td>
<td>Commercial and Government Entity Code Reporting (Jul 2016)</td>
<td></td>
</tr>
<tr>
<td>FAR 52.214-34</td>
<td>Submission of Offers in the English Language (Apr 1991)</td>
<td></td>
</tr>
<tr>
<td>FAR 52.214-35</td>
<td>Submission of Offers in U.S. Currency (Apr 1991)</td>
<td></td>
</tr>
<tr>
<td>FAR 52.215-1</td>
<td>Instructions to Offerors – Competitive Acquisition (Jan 2017)</td>
<td></td>
</tr>
<tr>
<td>FAR 52.215-16</td>
<td>Facilities Capital Cost of Money (Jun 2003)</td>
<td></td>
</tr>
</tbody>
</table>
| FAR 52.215-20      | Requirements for Certified Cost or Pricing Data and Data Other Than Certified Cost or Pricing Data (Oct 2010) – Alt III (Oct 1997) and Alt IV (Oct 2010) | Alt III, (c) As specified in Section L
| | | Alt IV, (b) As specified in Section L |
| FAR 52.215-22      | Limitations on Pass-Through Charges Identification of Subcontract Effort (Oct 2009) | |
| FAR 52.222-5       | Construction Wage Rate Requirements – Secondary Site of the Work (May 2014) | (b) Minority Goal: [TBD post-award as construction is initiated]; Female Goal: [TBD post-award as construction is initiated]
| | | (c) [TBD post-award as construction is initiated] |
| FAR 52.222-23      | Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity for Construction (Feb 1999) | |
| FAR 52.222-24      | Pre-Award On-Site Equal Opportunity Compliance Evaluation (Feb 1999) | |
| FAR 52.237-1       | Site Visit (Apr 1984) | |
| DEAR 952.233-4     | Notice of Protest File Availability (Aug 2009) | |
| DEAR 952.233-5     | Agency Protest Review (Sep 1996) | |
L.2. FAR 52.252-5 AUTHORIZED DEVIATIONS IN PROVISIONS (NOV 2020)

(a) The use in this solicitation of any Federal Acquisition Regulation (48 CFR Chapter 1) provision with an authorized deviation is indicated by the addition of “(DEVIATION)” after the date of the provision.

(b) The use in this solicitation of any Department of Energy Acquisition Regulation (48 CFR Chapter 9) provision with an authorized deviation is indicated by the addition of “(DEVIATION)” after the name of the regulation.


The Department of Energy has established a Mentor-Protégé Program to encourage its prime contractors to assist small business concerns, Historically Black Colleges and Universities and Minority Institutions, and other minority institutions of higher learning in enhancing their capabilities to perform contracts and subcontracts for DOE and other Federal agencies. If the contract resulting from this solicitation is awarded on a cost-plus-award fee basis, the Contractor's performance as a Mentor may be evaluated as part of the award fee plan. Mentor and Protégé firms will develop and submit “lessons learned” evaluations to DOE at the conclusion of the agreement. Any DOE contractor that is interested in becoming a Mentor should refer to the applicable regulations at 48 CFR 919.70 and should contact the Department of Energy's Office of Small and Disadvantaged Business Utilization.

L.4. FAR 52.216-1 TYPE OF CONTRACT (APR 1984)

The Government contemplates award of a cost-plus performance-based award fee management and operating contract with an IDIQ CLIN resulting from this solicitation.

L.5. DOE-L-2017 EXPENSES RELATED TO OFFEROR SUBMISSIONS (OCT 2015)

This solicitation does not commit the Government to pay any costs incurred in the submission of any proposal or bid, or in making necessary studies or designs for the preparation thereof or for acquiring or contracting for any services relating thereto.


Alternate bid/proposals are not solicited, are not desired, and will not be evaluated.

The Government may utilize non-federal evaluators and/or advisors or other non federal support personnel for evaluating proposals received in response to this solicitation. Such personnel shall be required to sign nondisclosure agreements and to comply with personal and organizational conflicts of interest requirements in accordance with the FAR and DEAR 915.207-70(f)(5) and (6). Under the statutes governing procurement integrity, these non-federal personnel may not disclose any information learned by participating in this acquisition. See the Procurement Integrity Act, 41 U.S.C. §§ 2101-2107.

L.8. **DOE-L-2025 INTENTION TO BID/PROPOSE (OCT 2015)**

In order to facilitate the efficiency of the Government’s solicitation and award process through advance information on the anticipated number of Offerors, potential Offerors are requested to submit the name, DUNS number, address, and telephone number of its firm or organization to WIPPcontract@emcbc.doe.gov not later than 20 calendar days prior to the proposal due date. If the bid/proposal is to be submitted by a teaming arrangement, the Offeror is requested to submit the above information for all members of the proposing team. DOE may utilize this information to interface regarding necessary FedConnect and System for Award Management registrations.


The Offeror's proposal shall be valid for 365 calendar days after the required due date for proposals.


(a) Definitions.

(1) Offeror. The term “Offeror,” as used in this Section L, refers to the single entity submitting the proposal. The Offeror may be a single corporation or a “Contractor team arrangement” as defined in FAR 9.601(1), for example, a limited liability company (LLC), limited liability partnership (LLP), joint venture (JV), or similar entity or arrangement. The Offeror may be an existing or newly formed business entity. The term “newly formed entity” refers to any business entity (e.g., JV, LLP, LLC, or similar entity or arrangement) formed solely for the purpose of submitting a proposal for this procurement. Such an entity may not have a record of its own past performance due to the fact that it may have been formed recently by affiliating entities for the purpose of submitting a proposal for this procurement.
If the Offeror is a newly formed entity, it must be legally established on or before the date for submission of proposals. (See Volume I instructions regarding any requirement for a performance guarantee agreement.)

(2) Teaming Subcontractor. A “Teaming Subcontractor” is any subcontractor that will perform work that is incorporated into the Offeror’s Technical and Management Proposal and that the prime Offeror considers necessary to enhance its team’s Technical and Management Proposal or ability to meet delivery requirements within the PWS. Small business Teaming Subcontractors count toward fulfillment of the Section H Clause entitled, Subcontracted Work, requirement and other small business goals in this contract. Teaming Subcontractors are evaluated consistent with the terms of this solicitation and thus are not subject to post-award subcontract consent pursuant to FAR 52.244-2.

(b) Availability of the solicitation, amendments, and other documents-electronic media.

(1) In order to further the Government policy of maximizing electronic commerce and making the acquisition process optimally cost-effective, electronic media will be used for distributing the solicitation, amendments thereto, and other documents to the public. These documents will be posted via the FedConnect website at https://www.fedconnect.net. This electronic medium will constitute the official distribution method for this solicitation. All amendments and any other official communications from DOE regarding this solicitation will be posted through this medium. Offerors and all other interested parties are responsible to maintain continual surveillance of the website to remain abreast of the latest available information (Offerors and other interested parties are encouraged to utilize the website’s “Notifications” feature). No changes to this solicitation will be effective unless the changes are incorporated into the solicitation by an amendment. No other communication, whether oral or in writing, will modify or supersede the terms of the solicitation.

(2) The solicitation, amendments, reference documents, and other communications are also available through the Environmental Management Consolidated Business Center (EMCBC) procurement website at https://www.emcbc.doe.gov/SEB/wippcontract/. If applicable, sensitive information, such as Official Use Only (OUO) information, will require the Offeror to complete and return a nondisclosure agreement as instructed on the procurement website.
(c) Submission of proposals.

(1) The Offeror must be registered in FedConnect at https://www.fedconnect.net. The Offeror must also be registered in the System for Award Management at https://www.sam.gov.

(2) Offerors must submit proposals electronically through FedConnect by the date and time specified in Standard Form 33, Solicitation, Offer and Award, in Section A of this solicitation and other provisions of Section L. It is imperative that the Offeror read and understand how to submit its proposal using the FedConnect web portal. All proposal documents required by this solicitation must be uploaded and received in their entirety in the FedConnect Responses web portal no later than the date and time specified in Standard Form 33, Solicitation, Offer and Award, in Section A of this solicitation. Proposals submitted via hardcopy, email, or the Fedconnect Message center shall not be accepted or considered. Failure to submit a response that is received through the FedConnect Responses web portal by the stated time and date may result in the proposal not being considered. By submitting a proposal, the Offeror agrees to comply with all terms and conditions as set forth in this solicitation. DOE does not provide help desk assistance regarding FedConnect, and questions regarding FedConnect shall be addressed directly to FedConnect in accordance with instructions found on its website. Subcontractor submissions of proprietary information may provide a password protected document file to the prime and share the password with the CO. The subcontractor proposal must adhere to the proposal due date/time in the solicitation and be submitted by the prime Offeror via FedConnect.

Note: When submitting proposals, please keep file names as brief as possible and limited to 255 total characters. Please use abbreviations when possible.

(3) Electronic submission of a proposal via FedConnect shall be considered the Offeror's official offer and will be considered binding.

(d) Solicitation instructions and proposal information.

(1) Proposals are expected to conform to all solicitation requirements and the instructions contained in this Section L. The Government will evaluate proposals on the basis of the information provided in the proposal. The Government will not assume that an Offeror possesses any capability unless set forth in the proposal. This applies even if the Offeror has existing contracts with the Federal Government, including DOE.
These instructions are not evaluation factors. Evaluation factors are set out in Section M, *Evaluation Factors for Award*, of this solicitation. However, failure to provide the requested information may make an Offeror ineligible for award or adversely affect the Government's evaluation of an Offeror’s proposal. In addition, a proposal will be eliminated from further consideration before the initial rating if the proposal is deficient as to be totally unacceptable on its face. A proposal will be deemed unacceptable if it does not represent a reasonable initial effort to address itself to the material requirements of the solicitation, or if it does not substantially and materially comply with the proposal preparation instructions of this solicitation. Cursory responses or responses which merely repeat or reformulate the solicitation will not be considered responsive to the requirements of the solicitation. In the event that a proposal is rejected, a notice will be sent to the Offeror stating the reason(s) that the proposal will not be considered for further evaluation under this solicitation.

(e) Proposal volumes and page limitations.

(1) The overall proposal shall consist of separate volumes, organized and individually entitled as stated below, with the following page limitations:

(i) Volume I, *Offer and Other Documents* - No page limit.


(2) All attachments, annexes, and appendices shall be counted toward any page limitation set forth below, unless otherwise stated. The following do not count toward the page limitations: table of contents, title pages, glossary, divider tabs, and blank pages. Those pages that exceed the limits set forth in each factor below will not be considered in the evaluation; page counting will begin with the first page of each volume and continue up to the page limitation. No material may be incorporated by reference as a means to circumvent the page limitations.

(3) Except as may be provided elsewhere in the solicitation (including paragraph (f)(2) below), Offerors shall not cross reference to other volumes of the proposal and shall provide complete information within the appropriate volume. All cost and pricing information shall be submitted and addressed only in Volume III, *Cost and Fee Proposal*, unless otherwise specified.
(f) Proposal specifications.

(1) Table of contents. Each volume shall contain a table of contents and a glossary of abbreviations and acronyms. The table of contents in each volume shall identify the section, subsection, paragraph titles, and page numbers, as well as all spreadsheets, charts, tables, figures, diagrams, design drawings, and graphs.

(2) Page size. Page size shall be 8½ × 11 inches for text pages, excluding foldouts. When 8½ × 11 inch pages contain text on both front and back, this is considered two pages. Page size for foldouts shall not exceed 11 × 17 inches; foldouts may be used for large tables, charts, graphs, diagrams, design drawings, or other schematics. When 11x17 inch pages are used, this is considered two pages; if tables and graphics are on both front and back, this is considered four pages. Tables of contents, lists of figures, dividers, tabs, or similar inserts that do not provide any substantive information are not counted as a page.

(3) Print type. Paragraph text shall be 12 point or larger, single spaced, using Times New Roman font, including paragraph text in the Section L attachments (including Attachment L-3, Past Performance Reference Information Form). Paragraph headings and section titles may use Arial or Times New Roman font 12 point or larger. Headers and footers, spreadsheets, charts, tables, diagrams or design drawings, and graphs must be 9 point or larger using Times New Roman or Arial font. Bold and italics are acceptable, and narrow is not acceptable.

(4) Page margins. Page margins for text pages and 11 x 17 shall be a minimum of one inch at the top, bottom, and each side. Tabloid pages (11 x 17’s) may only be used for graphics, spreadsheets, and large tables. Paragraphs of text and section heading are not allowed on 11 x 17’s. Each 11 x 17 page shall count as two pages. Each page shall, within the one inch top or bottom margins, set forth the solicitation number; name of the Offeror; and, as applicable, the legend in accordance with paragraph (e)(2), Restriction on disclosure and use of data, of the provision at FAR 52.215-1, Instructions to Offerors-Competitive Acquisition. The page margins may also include page numbers. This is the only information that can be displayed within the margins. Two columns of text per page on portrait pages and 3 columns of text per page on landscape pages are acceptable.

(5) Page numbering. All pages shall be sequentially numbered by volume. The only exception is financial statements and annual reports, which shall be segregated within the Volume III submission, thereby not requiring the documents to be sequentially numbered.
(6) File format. Files submitted shall be readable and searchable using Microsoft® Word®, Excel®, or Adobe® portable document format (PDF) file (must be in a searchable format, not scanned) except the following specific files:

(a) In Volume I, signed documents (e.g., Performance Guarantee Agreement) are not required to be readable and searchable.

(b) In Volume III, electronic copies of financial statements and Annual Reports shall be submitted in PDF (portable document format files are required).

(c) In Volume III, any proprietary software utilized in preparation of proposal information shall be provided along with licenses required to allow operation of the proprietary software. Any files provided in accordance with this section shall be in the native format.

(d) In Volume III, Cost and Fee Proposal tables shall be organized and submitted in native file format.

The files shall not be password protected or contain other security restraints unless access information is provided.

(g) Classified information. The Offeror shall not provide any classified information in response to this solicitation.

(h) Questions.

(1) Questions regarding this solicitation must be submitted to WIPPcontract@emcbc.doe.gov no later than 10 calendar days after the original solicitation issuance date. If DOE has not acknowledged receipt of submitted questions within three business days, the Offeror may contact the CO to confirm receipt of questions. Each question shall clearly specify the solicitation area to which it refers. Responses to questions, as appropriate, will be posted on the procurement website as soon as practicable. DOE will make every effort to have all questions answered at least two weeks before the proposal submission date. The Government will not identify prospective Offerors submitting questions. Offerors must check the procurement website periodically to ascertain the status of answers to questions.

(2) This solicitation is considered complete and adequately describes the Government's requirements. If an Offeror believes that there is an error in the
solicitation, or an omission, the Offeror shall submit a question to WIPPcontract@emcbc.doe.gov.

(i) False statements. Proposals must set forth full, accurate, and complete information, as required by this solicitation (including attachments). The penalty for making false statements in proposals is prescribed in 18 U.S.C. 1001.

(j) Examination of data. By submission of a proposal, the Offeror grants to the CO, or an authorized representative of the CO, the right to examine, for purposes of verifying the data submitted, those books, records, documents, and other supporting data (regardless of form) that will permit an adequate evaluation of the proposal. This right may be exercised in connection with any reviews deemed necessary by the CO prior to award.

(k) Commitment of public funds. The CO is the only individual who can legally award a contract and commit the Government to the expenditure of public funds in connection with the proposed acquisition. Any other commitment, either explicit or implied, is invalid.

(l) Content of resulting contract. Any contract awarded as a result of this solicitation will contain the following sections of the solicitation: Part I - The Schedule; Part II - Contract Clauses; Part III, List of Documents, Exhibits and Other Attachments; and Part IV, Section K - Representations, Certifications, and Other Statements of Offerors. Section K will be incorporated into the contract by reference.

(m) Allowable Salary for Key Personnel. Award of the Contract, as proposed, does not constitute a determination of allowability of key personnel salaries contained in the successful offer. As provided in Section H, entitled, Special Provisions Applicable To Workforce Transition and Employee Compensation: Pay and Benefits, within 20 days after initial Notice To Proceed, the Contractor shall submit Form EM 3220.5, Application for Contractor Compensation Approval, to the CO for each key personnel position listed in the Contract for a determination of cost allowability for reimbursement under the Contract. To support a reasonableness determination, the Contractor shall also provide compensation market survey data to support/justify the requested salary and any other information as requested by the CO. The Form EM 3220.5, Application for Contractor Compensation Approval, information shall not exceed the proposed key personnel compensation contained in the successful offer. Executive incentive programs are allocable and, therefore, may be determined to be allowable, if approved by the CO. Additionally, an executive incentive program will only be considered allowable to the extent the cost of the incentive is allocable as defined in FAR 31.201-4. To support a reasonableness determination for allowability,
the Contractor will be required to provide a justification and may be required to provide additional information such as a copy of the annual incentive letter provided to the individual executive for the services to be rendered.


(a) Cover letter. The Offeror may provide a brief cover letter. The cover letter will not be considered in the evaluation.

(b) General. Volume I – Offer and Other Documents, contains the offer to enter into a contract and other documents. The documents requiring signature by Offerors shall be contained in Volume I. Visibly identifiable scanned and/or time-stamped digital signatures will be acceptable, unless specifically stated otherwise in the RFP (i.e., the SF 328). Offerors shall include the information listed in the following paragraphs in Volume I, assembled in the order listed. In cases where the Offeror is required to fill in information in a contract clause, the Offeror shall submit only those pages that require input of information or a signature. Fill-ins are generally marked “[offeror fill-in]”; however, Offerors are responsible for ensuring all required fill-ins are completed with proposal submission (even if not overtly identified as “[offeror fill-in]”). If any fill-ins are not applicable, the Offeror shall state such. A listing of areas requiring fill-in include, but may not be limited to:

(1) Section B:

(i) Transition Cost, Anticipated Funding, and Total Available Performance Fee

(2) Section H:

(i) DOE-H-2017 Responsible Corporate Official and Corporate Board of Directors (Oct 2014) (Revised)

(ii) DOE-H-2052 Representations, Certifications and Other Statements of the Offeror (Revised)

(iii) DOE-H-2058, Designation and Consent of Teaming Subcontracts – Alternate I (Oct 2014) (Revised)

(iv) DOE-H-2070 Key Personnel – (Oct 2014) Revised
(v) DOE-H-2070 Key Personnel – Alternate I (Oct 2014) (applies to task orders only) Revised

(vi) Organizational Conflict of Interest - Affiliate(s)

(3) Section I:

(i) FAR 52.219-4 Notice of Price Evaluation Preference for HUBZone Small Business Concerns (Oct 2014)

(ii) FAR 52.223-3 Hazardous Material Identification and Material Safety Data (Jan 1997) – Alternate I (Jul 1995)

(iii) FAR 52.227-23 Rights to Proposal Data (Technical) (Jun 1987)

(c) Standard Form 33, Solicitation, Offer and Award – one signed (visibility identifiable scanned and/or time-stamped digital signature) of the Standard Form (SF) 33 must be provided.

(1) The person digitally signing the SF 33 must have the authority to commit the Offeror to the terms and conditions of the resulting contract, Sections A - J. By digitally signing and submitting the SF 33, the Offeror commits to accept the resulting Contract as contained in the solicitation, unless an exception or deviation to the terms and conditions as stated in the solicitation is explicitly stated by the Offeror in accordance with the below subsection (g), Exceptions and Deviations.

(2) The Offeror must acknowledge receipt of all amendments to the solicitation in block 14 of the SF 33.

(3) The Offeror shall insert 365 calendar days in block 12 of the SF 33 in accordance with Section L provision DOE-L-2015, Offer Acceptance Period.

(d) Administrative information. Offerors shall provide the following information:

(1) Solicitation number (reference paragraph (c)(2)(i) of the Section L provision at FAR 52.215-1 entitled, Instructions to Offerors - Competitive Acquisition).

(2) Offeror name. Name, address, telephone and facsimile numbers, e-mail, and Data Universal Numbering System Number (DUNS) of the Offeror (reference paragraph (c)(2)(ii) of the Section L provision at FAR 52.215-1, Instructions to Offerors Competitive Acquisition).

(3) Authorized signatory. Name and title of person authorized to sign the proposal (reference paragraph (c)(2)(v) of the Section L provision at FAR 52.215-1, Instructions to Offerors - Competitive Acquisition).
(4) Negotiators. Name(s), title(s), telephone number, and email address of persons authorized to negotiate on the Offeror's behalf (reference paragraph (c)(2)(iv) of the Section L provision at FAR 52.215-1, Instructions to Offerors - Competitive Acquisition).

(5) Government agency administration. Government agency(ies) and name of its representative(s) having administrative cognizance over the Offeror or parent company within the meaning of FAR subpart 42.3, Contract Administration Office Functions, including financial auditing, employment opportunity oversight, etc. Include agency name, address, and telephone number.

(e) Subcontractors and other entities. Offerors shall provide the following information:

(1) Name, address, and DUNS number for all proposed Teaming Subcontractors as defined in DOE-L-2001, Proposal Preparation Instructions – General, Section (a)(2). This information is only required for Teaming Subcontractors. Any non-teaming subcontractors will require subcontract consent after award pursuant to FAR 52.244-2.

(2) If the Offeror is a joint venture, limited liability company, limited liability partnership, or other similar entity (multi-member, shared ownership) provide:

   (i) Name, address, and DUNS of the parent or member company(ies) of the Offeror - joint venture members, limited liability company members, limited liability partnership members, etc.; and

   (ii) Teaming agreement(s) and operating agreement (if applicable), that will remain in effect after any contract award, that describe the business arrangement between the parent or member company(ies) of the Offeror, including the identity of the one member/partner who has the majority interest in the Offeror.

(f) Representations and certifications.

(1) If the Offeror has completed the annual representations and certifications electronically via the System for Award Management website in accordance with the provision at FAR 52.204-8, Annual Representations and Certifications, and those representations and certifications are current, accurate, complete, and applicable to this solicitation, the Offeror does not need to resubmit such representations and certifications in response to this solicitation. However, if any of these annual representations and certifications requires a change, the Offeror shall submit those changes in accordance with FAR 52.204-8.

(2) If the Offeror has not completed the annual representations and certifications electronically via the System for Award Management, the Offeror shall complete and provide all of the representations, certifications, and other statements of the Offeror as required in this solicitation's Section K.
(3) The Offeror shall also complete any additional representations, certifications, or other statements required in this solicitation's Section K, *Representations, Certifications, and Other Statements of the Offeror*.

(g) Exceptions and deviations.

(1) Exceptions and/or deviations are not sought, and the Government is under no obligation to enter into discussions related to such. The Offeror shall specifically identify and fully explain any proposed exception to or deviation from the terms and conditions of the solicitation. Any proposed exceptions or deviations must identify the applicable solicitation section, clause or provision number, paragraph number, and the proposal volumes to which the exception or deviation applies. In addition to identifying this complete information in Volume I, any deviations or exceptions shall also be identified in the other volumes to which the deviation or exception applies, Volumes II and III. Only exceptions or deviations specifically identified in this section, if accepted by the Government, will take precedence over the terms and conditions of the solicitation.

(2) Any exceptions or deviations by the Offeror to the terms and conditions stated in the solicitation for the resulting contract will make the offer unacceptable for award without discussions. If an Offeror proposes exceptions or deviations to the terms and conditions of the contract, then the Government may make an award without discussions to another Offeror that did not take exception to the terms and conditions of the contract.

(h) Facility Clearance verification.

The Offeror shall submit the following for the Offeror, JV/LLC member(s), and Teaming Subcontractors (if applicable) who will perform work under a contract resulting from this solicitation and require access authorizations (see Section L provision entitled, DEAR 952.204-73, Facility Clearance): (1) DOE Facility Clearance code or your Department of Defense (DOD) assigned Commercial and Government Entity (CAGE) code; (2) the date the Offeror’s, JV/LLC member(s)’, and Teaming Subcontractor’s (if applicable) completed Standard Form 328 was submitted, and (3) the date of the Contracting Officer’s affirmative FOCI determination. If the Offeror, JV/LLC member(s), or any of its Teaming Subcontractors (if applicable) do not possess such a CAGE code or DOE/NRC facility clearance number, the Offeror, JV/LLC member(s), and Teaming Subcontractor (if applicable) shall submit FOCI information in accordance with the Section L provision entitled, DEAR 952.204-73, Facility Clearance. Further information is available at https://foci.anl.gov/. All Offerors, JV/LLC member(s), and Teaming Subcontractors (if applicable) that do not possess a CAGE code or DOE/NRC Facility Clearance number, shall complete the required entries into the DOE Foreign Ownership, Control, or Influence (FOCI) Electronic Submission System (ESS) located at https://foci.anl.gov/. Use of the DOE FOCI ESS is
mandatory for all Offerors, JV/LLC member(s), and Teaming Subcontractors (if applicable) that do not possess a Facility Clearance. Teaming Subcontractor(s) that will not require access authorizations consistent with DEAR 952.204-73 are not required to submit the information contained within this provision, and the Offeror’s proposal shall clearly state that the Teaming Subcontractor(s) will not require access authorizations for the work proposed to be performed by the Teaming Subcontractor(s). Be sure to designate Key Management Personnel (KMP) (specific to FOCI only; this is not the same as Key Personnel as defined in Section H) in e-FOCI that hold the appropriate security clearance level as required by the scope of work and for the facility clearance. At a minimum, KMP must include the positions of the President and Facility Security Officer (FSO). If any member of the Offeror (including Teaming Subcontractors) or Tier Parents of the Offeror are under FOCI, the Offeror shall submit a draft FOCI Mitigation Plan for review by the appropriate Cognizant Security Office (CSO). If the CSO has questions regarding any of the FOCI Verification, Facility Clearance Information, and/or the draft FOCI Mitigation Plan, it may reach out to the Offeror during the evaluation process for clarifications. Note: This will not constitute “negotiations” (or “discussions”) as defined in paragraph (d) of FAR 15.306, Exchanges with Offerors After Receipt of Proposals or obligate the Government to conduct discussions; nor constitute a “proposal revision” as defined in FAR 15.001.

Offerors, JV/LLC member(s), and Teaming Subcontractors (if applicable) are encouraged to transmit FOCI information before the deadline for proposal submission. Under the DOE FOCI ESS, electronic signatures cannot be accepted; thus, the signed original SF-328 executed in accordance with the form’s instructions, and any other forms requiring a signature or seal shall be printed, signed, and submitted to the federal FOCI Operations Manager at the mailing address provided in the system. When filling out the New User Registration information in the DOE FOCI ESS, select “Savannah River Site – EMBC” as the FOCI Office that will review your submission for this solicitation when it is completed. Include the solicitation name and number in the “Reason for Request” field. If the Department identifies missing information, the Offeror shall submit any information requested by the Department (FOCI Manager or CO) as soon as possible.

(i) Performance guarantee agreement.

The Offeror shall provide the Performance Guarantee Agreement in accordance with the clause DOE-H-7019, Separate Entity and Corporate Guarantee. See Section L Attachment entitled, Performance Guarantee Agreement, for form and text of the required Performance Guarantee Agreement.

(j) Responsible Corporate Official and Corporate Board of Directors.

The Offeror shall provide the name of the responsible corporate official and other information related to the corporate board of directors (or equivalent corporate
oversight entity) in accordance with the clause DOE-H-2017 entitled, *Responsible
Corporate Official and Corporate Board of Directors*.

(k) Small business subcontracting plan.

The Offeror shall provide its Individual Small Business Subcontracting Plan in accordance with the clause at FAR 52.219-9, Small Business Subcontracting Plan, unless, pursuant to FAR 19.702, a small business subcontracting plan is not required. The requirements of a Small Business Subcontracting Plan are found at FAR 19.704. The Individual Small Business Subcontracting Plan is not a requirement for evaluation in source selection. For large businesses, subcontracting plans are a matter of responsibility, separate from the source selection evaluation, and will be incorporated into the resultant Contract as Section J Attachment entitled, Individual Small Business Subcontracting Plan.

(1) To be considered acceptable, the Offeror’s Plan shall address, in adequate detail, each of the elements identified in FAR 52.219-9(d). Failure by a large business Offeror to submit and/or negotiate a subcontracting plan that addresses each of the elements identified in FAR 52.219-9(d) in adequate detail may result in a negative responsibility determination.

(2) To be considered acceptable, the Offeror’s plan shall address, in adequate detail, and shall contain all elements required in FAR 52.219-9, except goals. Failure by a large business Offeror to submit and/or negotiate a subcontracting plan that addresses each element identified in FAR 52.219-9, except goals, in adequate detail may make the Offeror ineligible for award of a contract. See FAR 19.702, Statutory Requirements (a)(1), regarding failure of the apparent successful Offeror to negotiate and submit a Plan acceptable to the CO.

(3) The Offeror shall establish goals that afford small businesses with the maximum practicable opportunity to participate in contract performance consistent with efficient performance. In developing its proposed Plan, the Offeror shall establish minimum goals for the four-year Base Period for each small business category as follows:

- Small businesses*: 50%, including the following distinct subsets within the 50% goal:
  - Veteran-owned small business: 3%
  - Service-disabled veteran-owned small businesses: 3%
  - HUBZone small businesses: 3%
  - Small disadvantaged businesses**: 5%
  - Non-Alaskan Native Corporations Indian Tribes: 1%
- Women-owned small businesses: 5%
- Ability One Program: 0.25%

* The small business subcategories may not necessarily add up to the overall percentage in the Small Business category, since some small businesses may not fall into any of the subcategories, while others may fall into more than one subcategory.

**Small Business and Small Disadvantaged Business includes Indian tribes and Alaskan Native Corporations. Subcontracts awarded to an Indian tribe or an Alaskan Native Corporations shall be counted towards the subcontracting goals for small business and small disadvantaged business concerns, regardless of the size. Subcontracts awarded to an Indian tribe shall also be counted towards the subcontracting goal for Indian tribes.

(4) Proposed small business goals shall be the percent of total subcontracted work specified in the Offeror’s Small Business Subcontracting Plan.

(5) The Offeror shall describe all transition activities related to subcontracting within the small business subcontracting plan. This description shall include plans for all subcontracts put in place by the incumbent contractor, approach to communication with incumbent subcontractors, and identification of all new subcontracts the offeror plans to award during transition.

(l) Community Commitment Plan.

The Offeror shall provide a Community Commitment Plan that demonstrates meaningful partnership with the community. See the Section H clause DOE-H-2045, entitled Contractor Community Commitment. The Plan will become part of the resulting Contract as an attachment to Section J.

(m) Organizational Conflicts of Interest (OCI).

The Offeror, including each entity participating in a joint venture, limited liability company (LLC), or teaming agreement thereof as defined in FAR 9.601(1), shall provide a fully executed Section K provision entitled, Organizational Conflicts of Interest Disclosure, and any necessary statements required by the provision. If the Offeror believes there is an existing or potential OCI, the Offeror shall submit an appropriate draft OCI mitigation plan with its proposal. If the Department identifies an existing or potential OCI, the Offeror shall submit any information requested by the Department, including a draft OCI mitigation plan. If the Department requires additional explanation or interpretation regarding the proposed mitigation plan for evaluation purposes, this would be handled as clarifications or communications with Offerors, in accordance with subsections (a) and (b) of FAR 15.306, Exchanges with Offerors After Receipt of Proposals. Note: This proposal
requirement is separate and distinct from the Contract requirement stated at DOE-H-2035, Organizational Conflict of Interest Management Plan, which applies throughout the full period of performance.

(n) Equal opportunity compliance.

The Offeror shall provide all of the information required to perform a pre-award onsite equal opportunity compliance evaluation in accordance with FAR 52.222-24. This information shall include the company name, address, phone number, and point of contact for the Equal Employment Opportunity Commission. This information shall be provided for the Offeror, including each entity participating in a joint venture, LLC, or teaming agreement thereof as defined in FAR 9.601(1), as well as any known first-tier subcontractors with anticipated subcontracts of $10 million or more.

(o) Instructions Regarding Non-Public Government Information/Source Selection Information.

(1) The Offeror shall not utilize non-public government information (as at 5 CFR § 2635.703(b)) in the preparation of the Offeror’s proposal submission that results in an unfair competitive advantage in the procurement. An Offeror’s utilization of any individual(s) who possesses non-public government information or source selection information (obtained through their employment or by other means) may result in a perceived or actual unfair competitive advantage. Utilization of non-public government information or source selection information to prepare its proposal in response to the subject solicitation may disqualify the Offeror’s proposal from award. Utilization of information obtained by a contractor over the normal course of business is not subject to the Organizational Conflict of Interest provisions of this solicitation.

(2) The Offeror shall identify former DOE employees subject to post-employment restriction(s) involved in the Offeror’s written and/or oral proposal development during the time in which the individual was still subject to post-employment restriction(s). For any former DOE employees identified, the Offeror shall provide:

(i) The time period, nature, and extent of the former DOE employee’s involvement in the Offeror’s written and/or oral proposal development.

(ii) A description of whether or not such individuals shared any non-public government information (as defined by the United States Office of Government Ethics) or source selection information (as defined in FAR 2.101) with the Offeror during the development of its proposal, and if so, specific details regarding what information was shared.
(iii) If no such individual participated in the Offeror’s written and/or oral proposal development, the Offeror shall respond stating such.

(p) Earned Value Management System (EVMS) Documentation.

The Offeror shall provide the EVMS documentation required under Section K provision entitled, *Notice of Earned Value Management System (Revised).*

L.12. **DEAR 952.204-73 FACILITY CLEARANCE (AUG 2016) (DEVIAITION) (Issued by DOE Policy Flash 2021-14)**

**Notices to Offerors and the Contract Requirements of the Successful Offeror (Contractor)**

Section 2536 of title 10, United States Code, prohibits the award of a contract under a national security program to an entity controlled by a foreign government if it is necessary for that entity to be given access to information in a proscribed category of information in order to perform the contract unless a waiver is granted by the Secretary of Energy. In addition, a Facility Clearance and foreign ownership, control and influence information are required when the contract or subcontract to be awarded is expected to require employees to have access authorizations.

An offeror who has either a Department of Defense or a Department of Energy Facility Clearance generally need not resubmit the following foreign ownership, control and influence information unless specifically requested to do so. Instead, provide your DOE Facility Clearance code or your DOD assigned commercial and government entity (CAGE) code. If uncertain, consult the office that issued this solicitation.

(a) **Use of Certificate Pertaining to Foreign Interests, Standard Form 328.**

(1) The contract work to be performed by the successful offeror anticipated by this solicitation will require access to classified information or special nuclear material. Such access will require a Facility Clearance for the Contractor's (that is, the successful offeror’s) organization and access authorizations (security clearances) for Contractor personnel working with the classified information or special nuclear material. To obtain a Facility Clearance the Contractor must submit the Standard Form 328, Certificate Pertaining to Foreign Interests, and all required supporting documents to form a complete Foreign Ownership, Control or Influence (FOCI) Package. The Contractor must submit the FOCI Package in the format directed by DOE. After the FOCI Package is completed, the Contractor must print and sign one copy of the SF 328 and submit it to the Contracting Officer.

(2) Information submitted by the offeror in the Standard Form 328 will be used solely for the purposes of evaluating foreign ownership, control or influence and will be treated by DOE, to the extent permitted by law, as business or financial information submitted in confidence.

(3) Following submission of a Standard Form 328 and prior to contract award, the successful offeror/Contractor shall immediately submit to the Contracting Officer written notification of any changes in the extent and nature of FOCI information it submitted that
could affect its answers to the questions in Standard Form 328. Following award of a contract, the Contractor must immediately submit to the cognizant security office written notification of any changes in the extent and nature of FOCI information it submitted that could affect its answers to the questions in Standard Form 328. Notice of changes in FOCI information that are required to be reported to the Securities and Exchange Commission, the Federal Trade Commission, or the Department of Justice must also be reported concurrently to the cognizant security office.

(b) Definitions.

(1) **Foreign Interest** means any of the following—

   (i) A foreign government, foreign government agency, or representative of a foreign government;

   (ii) Any form of business enterprise or legal entity organized, chartered or incorporated under the laws of any country other than the United States or its possessions and trust territories; and

   (iii) Any person who is not a citizen or national of the United States.

(2) **Foreign Ownership, Control, or Influence (FOCI)** means the situation where the degree of ownership, control, or influence over a Contractor by a foreign interest is such that a reasonable basis exists for concluding that compromise of classified information or special nuclear material may result.

(c) **Facility Clearance** means an administrative determination that a facility is eligible to access, produce, use or store classified information, or special nuclear material. A Facility Clearance is based upon a determination that satisfactory safeguards and security measures are carried out for the activities being performed at the facility. It is DOE policy that all Contractors or Subcontractors requiring access authorizations be processed for a Facility Clearance at the level appropriate to the activities being performed under the contract. Approval for a Facility Clearance shall be based upon—

   (1) A favorable foreign ownership, control, or influence (FOCI) determination based upon the Contractor's response to the ten questions in Standard Form 328 and any required, supporting data provided by the Contractor;

   (2) A contract or proposed contract containing the appropriate security clauses;

   (3) Approved safeguards and security plans which describe protective measures appropriate to the activities being performed at the facility;

   (4) An established Reporting Identification Symbol code for the Nuclear Materials Management and Safeguards Reporting System if access to nuclear materials is involved;

   (5) A survey conducted no more than 6 months before the Facility Clearance date, with a composite facility rating of satisfactory, if the facility is to possess classified matter or special nuclear material at its location;
(6) Appointment of a Facility Security Officer, who must possess or be in the process of obtaining an access authorization equivalent to the Facility Clearance; and, if applicable, appointment of a Materials Control and Accountability Representative; and

(7) Access authorizations for key management personnel who will be determined on a case-by-case basis, and who possess or are in the process of obtaining access authorizations equivalent to the level of the Facility Clearance.

(d) Facility Clearance and Employees Requiring Access Authorizations Prior to DOE’s Granting Facility Clearance.

(1) A Facility Clearance is required for this contract, although not necessarily prior to contract award. A favorable FOCI determination for this contract is required prior to contract award. It must be rendered by the responsible cognizant security office. The Contracting Officer may require the offeror to submit additional information as deemed pertinent to this determination.

(i) The DOE must determine that awarding this contract to the offeror will not pose an undue risk to the common defense and security as a result of its access to classified information or special nuclear material in the performance of the contract. The Contracting Officer may require the offeror to submit such additional information as deemed pertinent to this determination.

(ii) Before contract award, after obtaining a favorable FOCI determination the successful offeror/Contractor may be eligible to obtain a Facility Clearance.

(iii) If the successful offeror/Contractor does not obtain a Facility Clearance before contract award, after contract award the Contractor shall submit the necessary information to obtain a Facility Clearance and to obtain personnel Interim Access Authorizations in accordance with Departmental policies and procedures.

(2) The DOE may grant certain of the Contractor’s Key Management Personnel and the Contractor’s Facility Security Officer Interim Access Authorization. If granted Interim Access Authorization, the Contractor’s Key Management Personnel and the Contractor’s Facility Security Officer will have access to classified information or special nuclear material.

(e) A Facility Clearance is required even for contracts that do not require the Contractor's corporate offices to receive, process, reproduce, store, transmit, or handle classified information or special nuclear material, but that require DOE access authorizations for the Contractor's employees to perform work at a DOE location. This type facility is identified as a non-possessing facility.

(f) Except as otherwise authorized in writing by the Contracting Officer, any resulting contract must require that the Contractor insert provisions similar to the foregoing in all subcontracts and purchase orders. Any Subcontractors (or vendors for purchase orders) requiring access authorizations for access to classified information or special nuclear material shall be directed to provide responses to the questions in Standard Form 328, Certificate Pertaining to
Foreign Interests, directly to the prime Contractor or the Contracting Officer for the prime contract.

**Notice to Offerors—Contents Review (Please Review Before Submitting)**

Prior to submitting the Standard Form 328, required by paragraph (a)(1) of this clause, the offeror should review the FOCI submission to ensure that:

1. The Standard Form 328 has been signed and dated by an authorized official of the offeror;
2. If publicly owned, the Contractor's most recent annual report, and its most recent proxy statement for its annual meeting of stockholders; or, if privately owned, the audited, consolidated financial information for the most recently closed accounting year has been attached;
3. A copy of the company's articles of incorporation and an attested copy of the company's by-laws, or similar documents filed for the company's existence and management, and all amendments to those documents;
4. A list identifying the organization's owners, officers, directors, and executive personnel, including their names, social security numbers, citizenship, titles of all positions they hold within the organization, and what clearances, if any, they possess or are in the process of obtaining, and identification of the government agency(ies) that granted or will be granting those clearances; and
5. A summary FOCI data sheet.

Note: A FOCI submission must be attached for each tier parent organization (i.e., ultimate parent and any intervening levels of ownership). If any of these documents are missing, award of the contract cannot be completed.


(a) The Technical and Management Proposal (Volume II), consists of written information to allow Offerors to demonstrate their approach and capabilities to perform the prospective contract. The instructions contained in this and other provisions of the solicitation are provided to assist Offerors in preparing their proposals and are not evaluation factors, however failure to comply with these instructions may result in a deficient proposal. The Technical and Management Proposal will be evaluated in accordance with the evaluation factors stated in Section M entitled, *Evaluation Factors for Award*.

(b) Offerors shall address, in the Technical and Management Proposal, those areas contained in the respective Section L provisions below. Each of these areas corresponds to the evaluation factors contained in Section M of the solicitation.
(c) The Technical and Management Proposal shall comply with the requirements contained in the provision at DOE-L-2001 entitled, Proposal Preparation Instructions, general and other applicable provisions of the solicitation, including any required format and page limitations. Offerors shall be specific and complete in addressing the information required to be included in the Technical and Management Proposal. Moreover, the Offeror shall not merely restate the work scope and/or other solicitation requirements in its Technical and Management Proposal.

(d) If an Offeror's approach includes the use of Teaming Subcontractors, then the Offeror's proposal submission, including Volumes II and III, shall include “Teaming Subcontractors” as defined in DOE-L-2001, Proposal Preparation Instructions – General, Section (a)(2). The Offeror shall not include the name(s) of any other specific subcontractor(s) (i.e., any non-Teaming Subcontractor(s)) within Volumes II and III. Non-Teaming Subcontractor(s) will be subject to post-award determination by the Contractor consistent with the Contractor’s purchasing system and the requisite terms and conditions of the contract.

(e) No cost or price information shall be included in the Volume II, Technical and Management Proposal.

L.14. PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II - KEY PERSONNEL

Factor 1: Key Personnel (The Key Personnel section shall not exceed 5 pages, exclusive of resumes and letters of commitment. The key personnel resumes are limited to four pages for each resume.)

Offerors shall include the following information in the Volume II - Technical and Management Proposal, related to the proposed key personnel:

(a) Key personnel. The Offeror shall propose the required Program Manager, Capital Asset Projects Manager, WIPP Operations Manager, Mining/Underground Operations Manager and Environmental, Safety and Health Manager. The Offeror may propose other key personnel which will be incorporated into the Contract through the clause at DOE-H-2070, Key Personnel. The Offeror shall not propose more than two additional non-required key personnel. Only one individual may be proposed for each key personnel position.

It is recognized that the number and functions of key personnel will be dependent on the organizational structure of the individual Offeror and the manner in which the Offeror proposes to perform the work. The Offeror shall not provide the names or qualifications of any non-key personnel.

(1) The Offeror shall provide the rationale for the selection of the proposed non-required key personnel positions regarding why they are essential to the successful performance of the entire PWS and the optimal team for execution of the PWS.
(2) The Offeror shall identify the organization that will employ each of the key personnel during performance of the contract, e.g., Offeror, Offeror affiliates, teaming partners, or Teaming Subcontractors.

(3) The Offeror shall confirm the availability of the key personnel as being full-time assigned to the contract and that their permanent duty station is located in the local surrounding area.

Failure of the Offeror to propose the required key personnel position(s), or to confirm the availability of the key personnel as being full-time assigned to the contract and that their permanent duty station is located in the local surrounding area will adversely affect the Government’s evaluation of the proposal and may make the proposal ineligible for award.

(b) Resume.

(1) The Offeror shall provide written resumes for all proposed key personnel in the format shown in Attachment L-2. The resume shall describe the key person's education, experience, accomplishments, and other information supporting the individual's qualifications and suitability for the proposed position. The resume shall address the following:

(i) DOE, commercial, and/or other Government experience in performing work similar to the work to be performed in their proposed position, including leadership, experience with mines and industrial ventilation systems and other accomplishments, with emphasis on baseline performance, on or ahead of schedule, within or under budget, and the ability to recover schedule variance;

(ii) Education, specialized training, certifications, and licenses that support the suitability for the proposed position; and

(iii) Three references having direct knowledge of the qualifications of the proposed key person.

(2) By submission of each resume, the key person and Offeror authorize DOE to contact any references, current or previous employers, or clients to verify the accuracy of information provided in the resume and to further assess each individual's suitability for the proposed position. DOE may contact any or all of the references, and other sources of information not provided by the Offeror, as a part of its evaluation of the key personnel. Current DOE employees shall not be identified as key personnel references.

(c) Letter of commitment.

A letter of commitment shall be submitted for each individual proposed as a key person. Each key person shall sign the letter stating that the information contained in the resume, submitted as part of the proposal, is true and correct; and the individual will unconditionally accept employment in the key position identified in the proposal beginning on the date the Notice to Proceed is issued for a period of time commensurate with the functional position as defined in DOE-H-2070, Key Personnel – Alternate I. The Letter of Commitment shall state as follows:
“I hereby certify that the resume submitted as part of the proposal is true and correct, and ________________ (insert name of individual proposed) will accept the proposed position of ________________ (insert name of proposed position) if ________________ (insert name of Offeror) receives the award and will perform in the proposed position for minimum of three years (consistent with DOE-H-2070) beginning on the date the Notice to Proceed is issued for the Transition Period of the contract. I also hereby certify that I will be assigned full-time to the contract and my permanent duty station will be located within the local surrounding area.”

Failure to submit a signed letter of commitment for each proposed key person will adversely affect the Government's evaluation of the proposal.

(d) Oral interviews – Program Manager and Capital Asset Projects Manager.

(1) Oral interviews – Program Manager and Capital Asset Projects Manager

DOE will conduct separate oral interviews with each Offeror’s proposed Program Manager and Capital Asset Projects Manager for the purpose of determining each individual’s qualifications and suitability, including leadership capability for the proposed positions. DOE may utilize virtual procedures to conduct the oral interviews with each Offeror’s proposed Program Manager and Capital Asset Projects Manager. A question and answer, oral interview format will be used. A set of questions will be asked of the Offeror’s Program Manager and Capital Asset Projects Manager. Questions will not be provided to Offerors in advance. Offerors may not present any formal presentation prepared in advance. The interviews will be conducted during a period of up to 60 minutes each.

(2) Logistics.

(i) The Offeror shall not bring into the presentation room any presentation or reference material including the written proposal or electronic equipment (e.g., computers/laptops, cell phones, cameras, and video or audio recording equipment), other than the computer required to host the web-based conference platform being utilized for the oral interview if conducted virtually. The Program Manager and Capital Asset Projects Manager shall not reach back, by phone/conference bridge, e-mail, or any other means, to any other personnel or persons for assistance during the oral interviews.

(ii) DOE may make a recording of the oral interviews. After award, a copy of the video recording may be provided to the Offeror upon request. Any type of recording of the oral interviews by Offerors is strictly prohibited.

(iii) By participating in the oral interviews, the Offeror acknowledges that it is in full compliance with all solicitation terms and conditions, in accordance with applicable laws and statutes.

(iv) Unless conducted virtually, DOE will provide flip-charts, sketch or legal pads, and markers for the Offeror’s Program Manager and Capital Asset Projects Manager to use during the oral interview. All presentation materials used will be retained by DOE.
(v) If conducted virtually, Offerors may elect to utilize 8 ½ x 11 sketch pad or legal pad and markers during the oral interviews. All presentation materials used virtually shall be the responsibility of the Offeror.

(vi) If conducted virtually, the Offeror shall not utilize any computers (other than the ones required to host the web-based conference platform being utilized for the oral interviews), tablets, smart phones, or separate conference lines/phones, while conducting the oral interviews, and shall not bring or distribute any written or electronic materials during the oral interviews. The Offerors Program Manager and Capital Asset Projects Manager may need a separate phone/conference bridge to connect to the oral interview, however that phone shall only be used for that purpose and no other.

(3) Schedule and Rules of Engagement.

Each Offeror will be notified within 10 working days after the proposal submission deadline of the date, time, location, agenda, and other instructions related to its oral interviews. The oral interviews will commence within approximately 40 working days after the proposal submission deadline. DOE reserves the right to conduct the oral interviews outside of this approximate timeline or to reschedule an Offeror’s oral interviews. DOE will not consider a request from an Offeror to reschedule its oral interviews, except under extenuating circumstances (e.g., personal illness or emergency). DOE will randomly select the order of the oral interviews.

If conducted virtually, the Government and each Offeror may agree to test the virtual platform connection at a convenient time prior to the oral interviews. Web links to the virtual platform shall be treated as source selection sensitive and shall not be shared with anyone other than the authorized Government participants and the Offeror’s authorized Program Manager and Capital Asset Projects Manager. The Offeror may authorize one person to provide IT technical support with the virtual platform connection and equipment. Additionally, persons and/or service animals required to assist the Program Manager and Capital Asset Projects Manager with disabilities may attend when accompanying that attendee. Further, Offerors shall provide the Contracting Officer with the name, employee/company, and email address of the Offeror’s authorized Program Manager and Capital Asset Projects Manager for the oral interviews as part of its Volume I submission. In the event the selected technology platform or tool cannot be made functional at the time of the scheduled oral interviews, the oral interviews may proceed as a voice-only telephone call or may be rescheduled, at the Government’s sole discretion.

(4) Oral interview agenda.

The following tentative agenda is anticipated to be used for the oral interviews. The agenda shows, a brief description, and the time that will be allowed for the oral interviews. DOE will strictly enforce the time limits. DOE will provide to each Offeror the final agenda when DOE notifies the Offeror of the scheduled date, time, and location for its oral interview.
Tentative Agenda

<table>
<thead>
<tr>
<th>Segment</th>
<th>Time Duration</th>
<th>Description</th>
</tr>
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<tbody>
<tr>
<td>Oral Interview: DOE Interview of the Offeror’s Program Manager</td>
<td>60 minutes</td>
<td>DOE will conduct an interview with the proposed Program Manager. A set of questions will be asked of the Offeror’s Program Manager interviewed. The interview will be conducted using a question and answer format. Questions to be used in the interview will not be provided to the Offeror in advance.</td>
</tr>
<tr>
<td>Oral Interview: DOE Interview of the Offeror’s Capital Asset Projects Manager</td>
<td>60 minutes</td>
<td>DOE will conduct an interview with the proposed Capital Asset Projects Manager. A set of questions will be asked of the Offeror’s Capital Asset Projects Manager interviewed. The interview will be conducted using a question and answer format. Questions to be used in the interview will not be provided to the Offeror in advance.</td>
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(5) Limitations of oral interview. The oral interviews will not-

(i) Constitute a part of the offer (Volume I of the proposal) or be incorporated into any contract resulting from this solicitation;

(ii) Constitute “negotiations” (or “discussions”) as defined in paragraph (d) of FAR 15.306, Exchanges with Offerors After Receipt of Proposals or obligate the Government to conduct discussions; nor

(iii)Constitute a “proposal revision” as defined in FAR 15.001 or allow an Offeror to cure deficiencies or weaknesses in, or otherwise revise, the written proposal.

L.15. DOE-L-2010 PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II - PAST PERFORMANCE (OCT 2015) (REVISED)

Factor 2: Past Performance (The Past Performance section shall be limited to the Attachment L-3, Past Performance Reference Information Forms, which are limited to up to seven pages per contract; the Attachment L-5, List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions, the Attachment L-7, List of DOE Contracts, and Past Performance Consent Statement(s), which have no page limits.)

Offerors shall include the following information in the Volume II - Technical and Management Proposal, (Attachment L-3, Past Performance Reference Information Form, Attachment L-5, List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions, Attachment L-7, List of DOE Contracts, and Past Performance Consent Statement(s), identified above) related to the Offeror’s past performance:

(a) Contracts information. The Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), shall provide past performance information on up to three (3) contracts per member, either currently being performed or completed by the Offeror/members, and up to two (2) contracts, either currently being performed or completed for each proposed Teaming Subcontractor. The Offeror shall only provide past performance information for contracts that are currently being performed or have a period
of performance end date within the last four (4) years from the original solicitation issuance date. Contracts may be, but are not limited to, contracts, task orders, delivery orders, or other legal agreements with federal, state, local, and foreign Governments and/or with commercial customers.

(b) Offeror past performance. The Offeror, to include all members of a teaming arrangement, as defined by FAR 9.601(1), shall provide information on contracts that are most similar in terms of scope, size, and complexity to the portion of the PWS that each entity is proposed to perform. Similar scope, size, and complexity are defined as follows based on the portion of work that each entity is proposed to perform: scope – type of work (e.g., work as identified in the PWS, including similar work of a non-nuclear nature and/or similar non-DOE work); size – dollar value (approximate average annual value in relation to proposed work; annual contract value of approximately $200M for evaluation purposes); and complexity – performance challenges (e.g., prior innovations, work performance improvements, subcontractor management, execution of Capital Asset Project portfolio, management of large complex contracts in a highly regulated environment, cost efficiencies, management of complex CHRM requirements, and successful collaboration with the Government, Client, multiple stakeholders, and Regulators). Include experience with mines and industrial ventilation systems.

(c) Teaming Subcontractor past performance. In addition to the Offeror’s information on relevant past performance, the Offeror shall provide information on the relevant past performance for any proposed Teaming Subcontractors that are proposed to perform work under the contract. Teaming Subcontractors are defined in section L.10(a)(2). The Offeror’s other subcontractor(s), not meeting the Teaming Subcontractor definition, shall not submit past performance information and any submitted information will not be evaluated. The Offeror shall provide information on contracts that are most similar in scope, size, and complexity, as defined above in paragraph (b), to that portion of the work that the Teaming Subcontractor is proposed to perform under this solicitation.

(d) Newly formed entity and predecessor companies. If the Offeror is a newly formed entity with no record of past performance for its team members as defined in FAR 9.601(1), the Offeror shall provide past performance information for its member organization(s). The Offeror, whether or not they are a newly formed entity, may provide past performance information for its parent organization(s), member organizations in a joint venture, LLC, or other similar or affiliated companies, provided the Offeror’s proposal demonstrates that the resources of the parent, member, or affiliated company will be provided or relied upon in contract performance such that the parent, member, or affiliate will have meaningful involvement in contract performance. Meaningful involvement means the parent, member, or affiliate will provide material supplies, equipment, personnel, or other tangible assets to contract performance; or how the common parent will utilize the expertise, best practices, lessons learned, or similar resources from the affiliate to affect the performance of the Offeror. If a common parent company is used to establish the nexus between the Offeror and an affiliated company, the Offeror must demonstrate how the affiliate and Offeror rely on, for example, similar assets, resources, policies, and procedures of the common parent company.
The Offeror or Teaming Subcontractors may also provide past performance information on predecessor companies that existed prior to any mergers or acquisitions, where the Offeror’s proposal demonstrates such performance reasonably can be predictive of the Offeror’s performance.

(e) Work to be performed. The past performance information provided for the Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), and/or Teaming Subcontractors, shall describe its relevancy to the PWS and to the work that is proposed to be performed by that individual entity. Specific cross references shall be made between the applicable sections of the PWS, the work to be performed by each entity, and the past performance of that entity. Each discrete reference contract provided must be attributed to a specific entity, or members of a teaming arrangement as defined in FAR 9.601(1) and/or Teaming Subcontractors. All information provided by the Offeror shall be described in sufficient detail to enable the Government to clearly identify and define the portion of work to be performed by each entity (Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), and Teaming Subcontractors) under the Offeror’s proposed approach.

(f) Performance information. For the reference contract, the Offeror shall identify Occupational Safety and Health Administration (OSHA) safety statistics (e.g., Days Away, Restricted, or Transferred (DART) cases and Total Recordable Cases (TRC)), as well as any DOE enforcement actions and/or worker safety and health, nuclear safety, and/or classified information security incidents or notifications posted to the DOE Office of Enterprise Assessments website (https://energy.gov/ea/information-center/enforcement-infocenter) and any corrective actions taken to resolve those problems. The Offeror shall include this information within the Past Performance Reference Information Form.

(g) Terminated contracts, cure notices, and conditional payment of fee/profit/other incentive actions. The Offeror shall provide a listing in Attachment L-5, List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions, of any contracts of the Offeror, to include all members of a teaming arrangement, as defined by FAR 9.601(1), and/or Teaming Subcontractors, that: (1) were terminated for default; (2) included a cure notice(s), in accordance with FAR 49 Termination of Contracts; and/or (3) included a conditional payment of fee/profit/other incentive action(s) as described in the DEAR within the past four (4) years from the original solicitation issuance date. This listing of terminated contracts, cure notices, or conditional payment of fee/profit/other incentive actions shall include the reasoning for the aforementioned actions, and is not limited to only those contracts contained in the Attachment L-3, Past Performance Reference Information Forms. If there are no terminated contracts for default, cure notices, and conditional payment of fee/profit/other incentive actions to report, Attachment L-5, List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions, shall be submitted with a blank table(s), as applicable, along with a note indicating that there are no aforementioned actions within the time period specified in the solicitation.

(h) Past Performance Questionnaire. The Offeror shall provide the Past Performance Questionnaire contained in Attachment L-4, Past Performance Cover Letter and Questionnaire, to the appropriate contract client reference within the Program.
Office/Project Office and/or the Contracting Office for completion for those contracts described in paragraph (a) for which no contractor performance data is available in the Contractor Performance Assessment Reporting System (CPARS). The Offeror shall request that clients return the Past Performance Questionnaire directly to DOE by electronic means only to the email address identified below no later than the date for receipt of proposals.

(1) DOE email address and contact information.

U.S. Department of Energy
Attention: John Blecher, Contracting Officer, SOLICITATION NO. 89303320REM000077
E-mail: john.blecher@emcbe.doe.gov
Phone: 513-207-4809

(2) The Offeror shall be responsible for following up with the client point of contact to ensure that the questionnaire has been completed and returned to the DOE Contracting Officer on time. However, receipt of the questionnaires is not subject to the Section L Provision, “52.215-1, Instructions to Offerors – Competitive Acquisition” related to late proposals. Questionnaires not received by the proposal due date may not be considered if consideration will unduly delay evaluations. The Offeror may contact the Contracting Officer at the e-mail provided in this solicitation to confirm the receipt of any questionnaires.

(i) List of DOE contracts. The Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), and Teaming Subcontractor(s) shall provide a listing on Attachment L-7, List of DOE Contracts, of all DOE prime contracts (including National Nuclear Security Administration) currently being performed and/or for contracts with a period of performance end date within the last four (4) years from the original solicitation issuance date. This includes contracts for which the Offeror or Teaming Subcontractor was a member organization in a joint venture, LLC, or other similar entity as a prime contractor to DOE. This list shall only include DOE prime contracts performed by the proposing entity and any affiliate companies for which an L-3 form is submitted (including within LLC arrangements), and shall not include any contracts performed by other affiliates not otherwise performing any of the submitted L-3 reference contracts (if applicable). If the Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), or Teaming Subcontractor(s) provided past performance information on predecessor companies that existed prior to any mergers or acquisitions, the Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), or Teaming Subcontractor(s) shall also provide a list of DOE contracts for the predecessor companies.

(j) Past Performance Consent Statement. As past performance information is proprietary source selection information, by default, the Government can only discuss past performance information directly with the prospective prime contractor, team member or Teaming Subcontractor that is being reviewed. If there is a problem with a proposed Teaming Subcontractor’s or team member’s past performance, the prospective prime contractor can be notified of a problem, but no details will be discussed without the team member’s/Teaming Subcontractor’s permission. Therefore, the Government is requesting
the following consent statement be completed, as applicable, by all proposed members of a teaming arrangement as defined in FAR 9.601(1), and all Teaming Subcontractors, by checking the appropriate “provide consent” or “do not provide consent” box, as well as providing all other requested information.

Dear (Contracting Officer),

We are currently participating as a [teaming member/Teaming Subcontractor] with [name of Offeror providing proposal] in responding to the Department of Energy, RFP No. 89303320REM000077 for the Waste Isolation Pilot Program Management and Operations Acquisition.

In order to facilitate the performance confidence assessment process we hereby [ ] provide consent [ ] do not provide consent to allow you to discuss our past and present performance information with the [name of Offeror providing proposal] during the source selection process.

________________________
________________________
(Signature and Title of individual who has the authority to sign for and legally bind the company)

Company Name:

Address:

Email:

Phone:

L.16. PROPOSAL PREPARATION INSTRUCTIONS, VOLUME II – TECHNICAL AND MANAGEMENT PROPOSAL

FACTOR 3: MANAGEMENT APPROACH (The Management Approach shall not exceed 15 pages total)

Offerors shall include the following information in the Volume II- Technical and Management Proposal related to the Offeror’s proposed management approach:

(a) Contract Transition Approach. The Offeror shall fully describe its approach to achieve the Contract Transition requirements listed in Section C of the solicitation, including implementation of Contractor Human Resources Management (CHRM) requirements, for the safe, effective, and efficient transfer of responsibility for execution of the contract with little or no disruption to ongoing operations.
(b) Pension and Benefits Transition. The Offeror shall fully describe its management approach to effectively sponsor, manage, and implement defined benefit pension and post-retirement welfare plans in accordance with CHRM requirements.

(c) Management Approach. The Offeror shall fully describe its management approach to include available capabilities, teaming/organizational structure and roles and responsibilities to effectively manage, implement, and execute the PWS, including the Line Item Capital Asset Projects and their integration into on-going WIPP Operations (i.e., ground control, mining, and waste handling); to interface and collaborate with on site, and waste generator/ storage site contractors; and to collaborate with DOE and the Regulators.

(d) Small Business Participation. The Offeror shall describe its approach to meet or exceed the small business subcontracting requirement of 20% of the total contract value, including subcontracting of meaningful work scope. Note: Evaluation of this factor is separate and distinct from the Small Business Subcontracting Plan. This information shall not contradict the Offeror’s Small Business Subcontracting Plan included in Volume I.

(e) Inclusion of Improvements and Innovations to Work Processes, Procedures, and Technologies. The Offeror shall describe its approach to meet the contract purpose and objective to include improvements and innovations to work processes, procedures, and technologies in the performance of the PWS throughout the period of performance. The Offeror need not demonstrate the viability of individual improvements or innovations, but rather must describe its approach to meet the requirement over the entirety of the period of performance.

L.17. PROPOSAL PREPARATION INSTRUCTIONS, VOLUME III – COST AND FEE PROPOSAL

Given the nature of performance-based management and operating contracts, Offerors will not be required to provide, nor will the Government determine an estimate of overall contract value for evaluation. For purposes of determining the best value, the evaluated price will be the total of the probable cost for transition, the proposed Key Personnel total compensation costs for base period year one and the amount of the performance fee values proposed in the tables found in Section B.3, Table B.1 and not exceeding the identified performance fee limitations.

The Offeror shall prepare its cost and fee proposal in accordance with the following instructions:

(a) Cost and Fee Information. All cost, fee, and financial information shall be included in Volume III. The Offerors shall provide a separately priced cost proposal in Volume III, that consists of three (3) parts to be provided using the prescribed formats in Section L Attachment L-6 Cost and Fee Proposal Worksheets tabs L-6 Summary, L-6a (Transition), L-6b (Key Personnel) and L-6c (Fee) as described below:
(1) **Transition.** The transition period is 90 days. The Offeror shall assume a transition start date of April 16, 2022 with operational activities beginning July 15, 2022 for proposal preparation purposes. The transition period will be on a cost-reimbursement (no fee) basis, subject to the cost principles of FAR 31, DEAR 931, and DEAR 970.31.

The Offeror shall provide a transition cost estimate and a Basis of Estimate (BOE) thoroughly documenting its proposed cost to perform the phase-in transition activities as set forth in PWS Section C.1.0 *EM.CB.0080.01.01 Contractor Transition*. The BOE shall include how the proposed costs by cost element were derived. The Offeror’s cost proposal should be sufficiently detailed to demonstrate its reasonableness and realism. Each transition period cost element identified in subparagraphs (i.) through (iii.) are to be proposed in the format prescribed in the Section L Attachment L-6, *Cost and Fee Proposal Worksheets* under tab L-6a.

i. **Labor:** Identify proposed transition labor hours and unburdened labor rates by labor category and/or specific individual (including Key Personnel) and the extended amounts under Attachment L-6 *Cost and Fee Proposal Worksheets* Tab L-6a. The BOE shall explain the basis for the Offeror’s labor skill mix, labor hour and labor rate estimates.

ii. **Indirect Costs:** Identify any indirect expenses (fringe, overhead, G&A) proposed to be allocated to the transition period. Indirect rate applications should be clearly identified in the BOE showing the proposed rates, and the results of the application of the proposed rates to the proposed allocation bases as entered on Attachment L-6 *Cost and Fee Proposal Worksheets* Tab L-6a. Identify if the proposed rates are Government approved rates and if so, provide evidence of the approval. If the proposed indirect rates are not Government approved rates, indicate how the proposed indirect rates were computed and applied, including pool expense and allocation base breakdown. Show trends and budgetary data to provide a basis for evaluating the reasonableness and realism of the proposed indirect rates.

**NOTE:** Home office expenses, except reach back costs for individuals from the home office directly supporting transition activities, are unallowable (see Section H Clause entitled “Advance Understandings Regarding Additional Items of Allowable and Unallowable Costs and Other Matters”).

iii. **Non-Labor Costs:** Identify proposed non-labor costs (including but not limited to materials, equipment, subcontracts, supplies, travel, relocation, and other direct costs) relating to the transition effort as applicable under Attachment L-6 *Cost and Fee Proposal Worksheets* Tab L-6(a). Show the proposed non-labor quantities, unit prices, and extended amounts and provide the basis of estimate and supporting documentation used to determine the proposed costs/prices. Calculate all allowable travel costs, including destination, number of trips, number of travel days per employee, airfares, car rental, hotel, meals, other, and
total travel cost. Reimbursed travel costs shall not exceed those identified in the FAR. Total costs of relocation, including type of cost (i.e. closing costs on old residence, house hunting) for the Offeror’s personnel to be relocated should be submitted. Submit a copy of the company’s policy for reimbursement of relocation costs. Travel and relocation expenses will be subject to the FAR limitations unless the company policy is less.

(2) **Key Personnel Cost.** As part of the evaluation of the cost to the Government of doing business with each Offeror, the Government will evaluate the annual compensation (exclusive of bonuses paid from fee) and associated fringe benefits for Key Personnel as required by Section H clause entitled, Key Personnel, for Base Period Year One after the completion of the transition period. For purposes of this requirement, the term “compensation” is defined by Section 39 of the Office of Federal Procurement Policy (OFPP) Act (41 U.S.C 435), as amended. This cost should include only costs which are reimbursable under the contract.

For the Key Personnel identified in Section H– DOE-H-2079 Key Personnel – Alternate I and any other key personnel proposed by the Offeror, provide the following cost information: (i) Key Personnel Title; (ii) Name; (iii) Base salary on an annual basis; (iii) Fringe benefit costs on an annual basis (shall only include paid time off either as part of the base salary (preferred) or the fringe cost); (iv) Bonuses and other compensation offered to each named key person. These costs are to be proposed in the format provided in Section L Attachment L-6, *Cost and Fee Proposal Worksheets* under tab L-6b. Supporting documentation and computations shall be provided for all elements shown above. For base salary support, provide current employee salary documentation as well as compensation market survey data to support the reasonableness of the proposed salary. This may include, but is not limited to, mean and median salary data by industry, geographic area, company size, and management level comparator.

**Note:** The 2018 Contractor Compensation Cap for Contracts Awarded on or after June 24, 2014 per the White House Office of Management and Budget website ([https://www.whitehouse.gov/omb/management/office-federal-procurement-policy/#cecc](https://www.whitehouse.gov/omb/management/office-federal-procurement-policy/#cecc)) of $525,000 shall be the compensation cap limit for Base Period Year One.

(3) **Available Performance Fee.** The Offeror’s performance fee shall be proposed by CLIN and contractor period of performance years utilizing the anticipating funding and estimated annual fee base in Attachment L-6 Cost and Fee Proposal Worksheets Tab L-6c. The Offeror’s proposed Total Available Performance Fee for each CLIN shall not exceed the DOE provided Performance Fee Cap Percentage for each CLIN in Section B.3 Table B.1.

(b) **Responsibility Determination and Financial Capability.** FAR 9.104-1(a), General Standards, requires that a prospective Offeror have adequate financial resources to
perform the contract or the ability to obtain them in order to be determined responsible. It is the Offeror’s responsibility to demonstrate its financial capability to complete this contract. Information provided by the Offeror shall include, but is not limited to, the following:

(1) Financial Statements (audited, if available) and notes to the financial statements for the last three (3) fiscal years;

(2) The information in subparagraph (1) for each member of the Offeror team arrangement if a teaming arrangement is used;

(3) The last three (3) annual reports for the parent corporation(s) or the organization(s) providing the Performance Guarantee Agreement. In order to consider the financial or other resources of the parent corporation entity(ies) or other guarantors, each of those entities must be legally bound, jointly and severally if more than one, to provide the necessary resources to the prospective Contractor and assume all contractual obligations of the prospective Contractor; and

(4) Any available lines of credit.

Using the above information and other information, the Government will make a FAR Part 9, Contractor Qualifications responsibility determination of the prospective awardee. The Government may request a financial capability review of each Offeror from the DCAA or another audit entity, as part of the Government’s consideration in making the responsibility determination.

(c) Cost Accounting Standards. A statement identifying whether the Offeror’s organization is subject to the Cost Accounting Standards (CAS) and the current status of the Offeror’s Disclosure Statement. State whether you have been notified that you are or may be in noncompliance with your Disclosure Statement or CAS and, if yes, provide an explanation. State whether any aspect of this cost proposal is inconsistent with your disclosed accounting practices or applicable CAS and, if yes, provide an explanation. State whether any aspect of this cost proposal is inconsistent with your disclosed accounting practices or applicable CAS and, if so, provide an explanation.

L.18. DEAR 970.5215-5 LIMITATION ON FEE (DEC 2000)

(a) For the purpose of this solicitation, fee amounts shall not exceed the total available fee allowed by the fee policy at 48 CFR 970.1504-1-1, or as specifically stated elsewhere in the solicitation.

(b) The Government reserves the unilateral right, in the event an Offeror's proposal is selected for award, to limit: fixed fee to not exceed an amount established pursuant to 48 CFR 970.1504-1-5; and total available fee to not exceed an amount established
pursuant to 48 CFR 970.1504-1-9; or fixed fee or total available fee to an amount as specifically stated elsewhere in the solicitation.

L.19. DEAR 970.5209-1 REQUIREMENT FOR GUARANTEE OF PERFORMANCE (DEC 2000)

The successful Offeror is required by other provisions of this solicitation to organize a dedicated corporate entity to carry out the work under the Contract to be awarded as a result of this solicitation. The successful Offeror will be required, as part of the determination of responsibility of the newly organized, dedicated corporate entity and as a condition of the award of the contract to that entity, to furnish a guarantee of that entity’s performance. That guarantee of performance must be satisfactory in all respects to the Department of Energy.
L.20. **DOE-L-2014 DATE, TIME, AND PLACE OFFERS ARE DUE (OCT 2015)**

All Offers required by this solicitation are due no later than July 19, at 4:00pm Eastern Standard Time. Treatment of late submissions, modifications, and withdrawals are governed by the applicable provisions of the solicitation.


It is anticipated that there will be one award resulting from this solicitation. However, the Government reserves the right to make no award, if it is considered to be in the Government’s best interest to do so.

L.22. **CONTACTS REGARDING FUTURE EMPLOYMENT**

Except where prohibited by law, contacts with the Incumbent Contractor employees regarding future employment are permitted; however, such contacts and interviews must take place outside the normal working hours of such employees and at off-site locations. No on-site contacts of any kind with employees of the current Incumbent Contractor are permitted related to this solicitation until contract award. Offerors are reminded, however, that they are prohibited from contacting anyone about procurement sensitive information relating to this solicitation. (Refer to FAR 2.101 and 3.104.)

L.23. **DOE-L-2020 SMALL BUSINESS SET-ASIDE INFORMATION (UNRESTRICTED)**

This acquisition is unrestricted and contains no small business set-aside provisions.

L.24. **DOE-L-2026 SERVICE OF PROTEST (OCT 2015)**

(a) Protests, as defined in section 33.101 of the Federal Acquisition Regulation, that are filed directly with an agency, and copies of any protests that are filed with the Government Accountability Office (GAO), shall be served on the Contracting Officer (addressed as follows) by obtaining written and dated acknowledgment of receipt from:

John Blecher, Contracting Officer  
U.S. Department of Energy  
EM Consolidated Business Center  
550 Main Street, Room 7-010  
Cincinnati, OH 45202

(b) The copy of any protest shall be received in the office designated above within one day of filing a protest with the GAO.
(c) Another copy of a protest filed with the GAO shall be furnished to the following address within the time periods described in paragraph (b) of this provision:

U.S. Department of Energy
Assistant General Counsel for Procurement and
Financial Assistance (GC-61)
1000 Independence Avenue, S.W.
Washington, DC 20585
Fax: (202) 586-4546

L.25. DOE-L-2027 NOTICE OF PROTEST FILE AVAILABILITY (OCT 2015)

(a) If a protest of this procurement is filed with the GAO in accordance with 4 CFR part 21, any actual or prospective Offeror may request DOE to provide it with reasonable access to the protest file pursuant to 48 CFR 33.104(a)(3)(ii), implementing section 1605 of Public Law 103-355. Such request must be in writing and addressed to the CO for this procurement.

(b) Any Offeror who submits information or documents to the Department for the purpose of competing in this procurement is hereby notified that information or documents it submits may be included in the protest file that will be available to actual or prospective Offerors in accordance with the requirements of 48 CFR 33.104(a)(3)(ii). The Department will be required to make such documents available unless they are exempt from disclosure pursuant to the Freedom of Information Act. Therefore, Offerors shall mark any documents as to which they would assert that an exemption applies. (See 10 CFR Part 1004.)

L.26. DOE-L-2028 AGENCY PROTEST REVIEW (OCT 2015)

Protests to the agency will be decided either at the level of the Head of the Contracting Activity or at the Headquarters level. DOE’s agency protest procedures, set forth at 48 CFR 933.103, elaborate on these options and on the availability of a suspension of a procurement that is protested to the Department. The Department encourages potential protestors to discuss their concerns with the CO prior to filing a protest.

L.27. FAR 52.225-10 NOTICE OF BUY AMERICAN REQUIREMENT – CONSTRUCTION MATERIALS (MAY 2014)

(a) Definitions. “Commercially available off-the-shelf (COTS) item,” “construction material,” “domestic construction material,” and “foreign construction material,” as used in this provision, are defined in the clause of this solicitation entitled “Buy American—Construction Materials” (Federal Acquisition Regulation (FAR) clause 52.225-9).
(b) Requests for determinations of inapplicability. An Offeror requesting a determination regarding the inapplicability of the Buy American statute should submit the request to the CO in time to allow a determination before submission of offers. The Offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of the clause at FAR 52.225-9 in the request. If an Offeror has not requested a determination regarding the inapplicability of the Buy American statute before submitting its offer, or has not received a response to a previous request, the Offeror shall include the information and supporting data in the offer.

(c) Evaluation of offers.

(1) The Government will evaluate an offer requesting exception to the requirements of the Buy American statute, based on claimed unreasonable cost of domestic construction material, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(3)(i) of the clause at FAR 52.225-9.

(2) If evaluation results in a tie between an Offeror that requested the substitution of foreign construction material based on unreasonable cost and an Offeror that did not request an exception, the CO will award to the Offeror that did not request an exception based on unreasonable cost.

(d) Alternate offers.

(1) When an offer includes foreign construction material not listed by the Government in this solicitation in paragraph (b)(2) of the clause at FAR 52.225-9, the Offeror also may submit an alternate offer based on use of equivalent domestic construction material.

(2) If an alternate offer is submitted, the Offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of the clause at FAR 52.225-9 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.

(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of the clause at FAR 52.225-9 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic construction material, and the Offeror shall be required to furnish such domestic construction material. An offer based on use of the foreign construction material for which an exception was requested:

(i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or
(ii) May be accepted if revised during negotiations.

L.28. FAR 52.225-12 NOTICE OF BUY AMERICAN REQUIREMENT – CONSTRUCTION MATERIALS UNDER TRADE AGREEMENTS (MAY 2014)

(a) Definitions. "Commercially available off-the-shelf (COTS) item," "construction material," "designated country construction material," "domestic construction material," and "foreign construction material," as used in this provision, are defined in the clause of this solicitation entitled "Buy American-Construction Materials Under Trade Agreements" (Federal Acquisition Regulation (FAR) clause 52.225-11).

(b) Requests for determination of inapplicability. An offeror requesting a determination regarding the inapplicability of the Buy American statute should submit the request to the Contracting Officer in time to allow a determination before submission of offers. The offeror shall include the information and applicable supporting data required by paragraphs (c) and (d) of FAR clause 52.225-11 in the request. If an offeror has not requested a determination regarding the inapplicability of the Buy American statute before submitting its offer, or has not received a response to a previous request, the offeror shall include the information and supporting data in the offer.

(c) Evaluation of offers.

(1) The Government will evaluate an offer requesting exception to the requirements of the Buy American statute, based on claimed unreasonable cost of domestic construction materials, by adding to the offered price the appropriate percentage of the cost of such foreign construction material, as specified in paragraph (b)(4)(i) of FAR clause 52.225-11.

(2) If evaluation results in a tie between an offeror that requested the substitution of foreign construction material based on unreasonable cost and an offeror that did not request an exception, the Contracting Officer will award to the offeror that did not request an exception based on unreasonable cost.

(d) Alternate offers.

(1) When an offer includes foreign construction material, other than designated country construction material, that is not listed by the Government in this solicitation in paragraph (b)(3) of FAR clause 52.225-11, the offeror also may submit an alternate offer based on use of equivalent domestic or designated country construction material.

(2) If an alternate offer is submitted, the offeror shall submit a separate Standard Form 1442 for the alternate offer, and a separate price comparison table prepared in accordance with paragraphs (c) and (d) of FAR clause 52.225-11 for the offer that is based on the use of any foreign construction material for which the Government has not yet determined an exception applies.
(3) If the Government determines that a particular exception requested in accordance with paragraph (c) of FAR clause 52.225-11 does not apply, the Government will evaluate only those offers based on use of the equivalent domestic or designated country construction material, and the offeror shall be required to furnish such domestic or designated country construction material. An offer based on use of the foreign construction material for which an exception was requested-

(i) Will be rejected as nonresponsive if this acquisition is conducted by sealed bidding; or

(ii) May be accepted if revised during negotiations.

L.29. LIST OF SECTION L ATTACHMENTS

Attachment L-1 – Performance Guarantee Agreement
Attachment L-2 – Key Personnel Standard Resume Format
Attachment L-3 – Past Performance Reference Information Form
Attachment L-4 – Past Performance Cover Letter and Questionnaire
Attachment L-5 – List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions
Attachment L-6 – Cost and Fee Proposal Worksheets
Attachment L-7 – List of DOE Contracts
Attachment L-8 – Offeror’s Proposed Accounting System Information
Attachment L-1: Performance Guarantee Agreement

Performance Guarantee Agreement

For value received, and in consideration of, and to induce the United States (the Government) to enter into Contract ______________________ for the (Contract) dated ________________, by and between the Government and ______________________ (Contractor), the undersigned, _______________ (Guarantor), a corporation incorporated in the State of ______________ with its principal place of business ______________________ hereby unconditionally guarantees to the Government:

(a) The full and prompt payment and performance of all obligations, accrued and executory, which Contractor presently or hereafter may have to the Government under the contract; and

(b) The full and prompt payment and performance by Contractor of all obligations and liabilities of Contractor to the Government, fixed or contingent, due or to become due, direct or indirect, now existing or hereafter and howsoever arising or incurred under the contract, and

(c) Guarantor further agrees to indemnify the Government against any losses the Government may sustain and expenses it may incur as a result of the enforcement or attempted enforcement by the Government of any of its rights and remedies under the contract, in the event of a default by Contractor hereunder, and/or as a result of the enforcement or attempted enforcement by the Government of any of its rights against Guarantor hereunder.

Guarantor has read and consents to the signing of the contract. Guarantor further agrees that Contractor shall have the full right, without any notice to or consent from Guarantor, to make any and all modifications or amendments to the contract without affecting, impairing, or discharging, in whole or in part, the liability of Guarantor hereunder.

Guarantor hereby expressly waives all defenses which might constitute a legal or equitable discharge of a surety or guarantor, and agrees that this Performance Guarantee Agreement shall be valid and unconditionally binding upon Guarantor regardless of: (i) the reorganization, merger, or consolidation of Contractor into or with another entity, corporate or otherwise, or the liquidation or dissolution of Contractor, or the sale or other disposition of all or substantially all of the capital stock, business or assets of Contractor to any other person or party; or (ii) the institution of any bankruptcy, reorganization, insolvency, debt agreement, or receivership proceedings by or against Contractor, or adjudication of Contractor as a bankrupt; or (iii) the assertion by the Government against the Contractor of any of the Government’s rights and remedies provided for under the contract, including any modifications or amendments thereto, or under any other document(s) or instrument(s) executed by Contractor, or existing in the Government’s favor in law, equity, or bankruptcy.

Guarantor further agrees that its liability under this Performance Guarantee Agreement shall be continuing, absolute, primary, and direct, and that the Government shall not be required to
pursue any right or remedy it may have against Contractor or other Guarantors under the contract, or any modifications or amendments thereto, or any other document(s) or instrument(s) executed by Contractor, or otherwise. Guarantor affirms that the Government shall not be required to first commence any action or obtain any judgment against Contractor before enforcing this Performance Guarantee Agreement against Guarantor, and that Guarantor will, upon demand, pay the Government any amount, the payment of which is guaranteed hereunder and the payment of which by Contractor is in default under the contract or under any other document(s) or instrument(s) executed by Contractor as aforesaid, and that Guarantor will, upon demand, perform all other obligations of Contractor, the performance of which by Contractor is guaranteed hereunder.

Guarantor agrees to ensure that it shall cause this Performance Guarantee Agreement to be unconditionally binding upon any successor(s) to its interests regardless of:

(i) The reorganization, merger, or consolidation of Guarantor into or with another entity, corporate or otherwise, or the liquidation or dissolution of Guarantor, or the sale or other disposition of all or substantially all of the capital stock, business, or assets of Guarantor to any other person or party; or

(ii) The institution of any bankruptcy, reorganization, insolvency, debt agreement, or receivership proceedings by or against Guarantor, or adjudication of Guarantor as a bankrupt.

Guarantor further warrants and represents to the Government that the execution and delivery of this Performance Guarantee Agreement is not in contravention of Guarantor’s Articles of Organization, Charter, bylaws, and applicable law; that the execution and delivery of this Performance Guarantee Agreement, and the performance thereof, has been duly authorized by the Guarantor’s Board of Directors, Trustees, or any other management board which is required to participate in such decisions; and that the execution, delivery, and performance of this Performance Guarantee Agreement will not result in a breach of, or constitute a default under, any loan agreement, indenture, or contract to which Guarantor is a party or by or under which it is bound.

No express or implied provision, warranty, representation or term of this Performance Guarantee Agreement is intended, or is to be construed, to confer upon any third person(s) any rights or remedies whatsoever, except as expressly provided in this Performance Guarantee Agreement.

In witness thereof, Guarantor has caused this Performance Guarantee Agreement to be executed by its duly authorized officer, and its corporate seal to be affixed hereto on

____________________________________________
Name of Corporation
Name and Position of Official Executing Performance Guarantee Agreement on Behalf of Guarantor

________________________________________

Attestation Including Application of Seal by an Official of Guarantor Authorized to Affix Corporate Seal
Attachment L-2: Key Personnel Standard Resume Format

Key Personnel Standard Resume Format

(Resume must not exceed four (4) pages in length for each key personnel)

Note: The Offeror may amend the format for Attachment L-2, Key Personnel Standard Resume Format, as long as the exact information, font and 12-point size (per DOE-L-2001) for the majority of information is utilized (other than supplemental charts, tables, and diagrams), and page limitations are followed.

Name of Key Person:
Name of Offeror:
Proposed Position with Offeror:
Availability Date and Period of Commitment: (Insert [month/date/year] for availability date; period of commitment shall be reflected from date the Notice to Proceed is issued for the Transition Period of the contract forward).

Name of Company with whom key person will be Employed:
Level of Security Clearance (or ability to obtain necessary clearance):
Country of Citizenship:
Duties and Responsibilities in Proposed Position:
Experience: (Starting with current position and working backwards: Identify name and address of employer, contract title, dates of employment, position titles, specified duties and responsibilities, and name, title and phone number of supervisor. Address specific information on the experience in performing work similar to the work to be performed in their proposed position, including leadership, experience with mines and industrial ventilation systems and other accomplishments, with emphasis on baseline performance, on or ahead of schedule, within or under budget, and the ability to recover schedule variance. Describe how work experience relates to the solicitation and capability to function effectively in the proposed position.

Education, specialized training, certifications, and licenses that support the individual’s qualifications and suitability for the proposed position: (Provide degree(s) earned, discipline(s), year(s) degree(s) attained, and institution(s); if degree is incomplete, identify the number of hours earned towards degree).

Three References: (Name, title, company/organization, address, phone number, and e-mail address)

Letter of Commitment: (A signed letter of commitment should be attached to each resume - use the letter of commitment format specified in Section L.15(c). Page limits for resumes do not include letters of commitment.)
### Attachment L-3: Past Performance Reference Information Form

(Completed Form limited to seven pages per reference contract. If the reference contract is/was a subcontract to a prime contract, the information contained within this L-3 form shall only pertain to the subcontract information. If the reference contract is for the operation and/or demolition of a commercial facility, the L-3 form may be utilized to reflect the entirety of the commercial facility operation along with a single point of contact for the contract or binding agreement with the largest single client for the referenced services performed.)

<table>
<thead>
<tr>
<th>1. Name and DUNS # of Offeror Submitting Proposal:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Name and DUNS # of Company for which L-3 Form is being submitted:</td>
<td></td>
</tr>
<tr>
<td>3. Name of Reference Contract Client (e.g., Government Agency or Prime Contractor):</td>
<td></td>
</tr>
<tr>
<td>4. Name and DUNS # of Entity Reference Contract Was Awarded To: (if the Entity was made up of member companies, provide the applicable member company’s name and DUNS # as well)</td>
<td></td>
</tr>
<tr>
<td>5. Reference Contract Number: Reference Contract Title:</td>
<td></td>
</tr>
<tr>
<td>6. Reference Contract Available in CPARS (i.e., Yes/No):</td>
<td></td>
</tr>
<tr>
<td>7. Reference Contract Client Point of Contact: *The reference point of contact must include the Contracting Officer (or equivalent), and may also include the Project Director or Contracting Officer’s Representative (or equivalents). Name: Title: Telephone: Email: Address:</td>
<td></td>
</tr>
<tr>
<td>8. Reference Contract Period of Performance:</td>
<td></td>
</tr>
<tr>
<td>9. Reference Contract Period of Performance Start Date:</td>
<td></td>
</tr>
<tr>
<td>10. Reference Contract Period of Performance End Date:</td>
<td></td>
</tr>
<tr>
<td>11. Reference Contract Type (e.g., FP, T&amp;M, CPFF, CIPF, CPAF, IDIQ, etc.):</td>
<td></td>
</tr>
<tr>
<td>12. Reference Contract Total Value and Approximate Average Annual Value (separately list fee if cost-type):</td>
<td></td>
</tr>
</tbody>
</table>
# Past Performance Reference Information Form

13. Reference Contract Value Performed To Date (Insert the final sum of all invoices, or the sum of all invoices to date, including agreed upon and disputed amounts, paid and awaiting payment; Date = RFP release date):

14. Approximate Average Annual Value the Company (identified in #2) is proposed to perform on WIPP M&O:
   Note: An estimated breakdown of the work by PWS element over the ordering period is provided for information purposes. Offeror can further break down these elements and percentages based on the work scope to be performed. The total estimated annual contract value is $200M for evaluation purposes.

   C.1, Contractor Transition = 1%
   C.2, Central Characterization Project = 5%
   C.3, Transportation = 3.5%
   C.4, WIPP Operations = 34%
   C.5, Projects = 8%
   C.6, Experimental and Testing Activities = .5%
   C.7, WIPP Program Support = 48%

15. Scope Company (identified in #2) is proposed to perform WIPP M&O. List applicable PWS elements:

16. Scope Company (identified in #4) performed on Reference Contract:

17. Complexity Company (identified in #2) is proposed to perform on WIPP M&O:

18. Complexity of work Company (identified in #4) performed on Reference Contract:

19. Safety statistics: provide Days Away, Restricted or Transferred and Total Recordable Case (TRC) rates and hours worked for the company (identified in #4) on
### Past Performance Reference Information Form

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>20.</strong> For the Reference Contract, identify any DOE enforcement actions and/or worker safety and health, nuclear safety, and/or classified information security incidents or notifications posted to the DOE Office of Enterprise Assessments (EA) website (<a href="https://energy.gov/ea/information-center/enforcement-infocenter">https://energy.gov/ea/information-center/enforcement-infocenter</a>) within the last 4 years from the WIPP M&amp;O original solicitation issuance date and corrective actions taken to resolve those problems:</td>
<td></td>
</tr>
<tr>
<td><strong>21.</strong> Was the reference contract awarded to an affiliated company (see definition of “affiliates” at FAR 2.101) of the entity for which the L-3 form is being submitted (identified in #2)? (Yes/No) If the name and/or DUNS are different than the entity identified in #2, explain the relationship (e.g., self, subsidiary, parent, etc.). If “yes”, the Offeror shall describe the resources of the parent, member, or affiliated company that will be provided or relied upon in contract performance such that the parent, member, or affiliate will have meaningful involvement in contract performance. If a common parent company is being used to establish the nexus between the Offeror and an affiliated company, then the Offeror must describe how the affiliate and Offeror rely on, for example, similar assets, resources, policies, and procedures of the common parent company.</td>
<td></td>
</tr>
</tbody>
</table>
### Past Performance Reference Information Form

| If the Offeror identifies past performance information for predecessor companies that existed prior to any mergers or acquisitions, the Offeror shall demonstrate that such performance reasonably can be predictive of the Offeror’s performance. |

Note: The Offeror may amend the format for Attachment L-3, Past Performance Reference Information Form, as long as the exact information, font and size (per DOE-L-2001), and page limitations are followed. Also, the information contained in the Offeror’s submitted L-3 forms shall be consistent with the information contained in other sections of the Volume II proposal.
Attachment L-4: Past Performance Cover Letter and Questionnaire

Past Performance Cover Letter for ________________

Dear “Client”:

We are currently responding to the Department of Energy (DOE) Request for Proposals No. 89303320REM000077 WIPP M&O Acquisition at the Waste Isolation Pilot Plant in Carlsbad, New Mexico.

The solicitation places emphasis on past performance as a source selection factor. In addition to requesting the attached Questionnaire be completed, the Government is requiring that clients of entities responding to the solicitation be identified and their participation in the evaluation process be requested. In the event you are contacted for information by the Government on work we have performed, you are hereby authorized to respond to those inquiries.

We are asking for your assistance in completing the attached questionnaire and forwarding to the DOE to aid in its evaluation of our past performance.

Please return the completed questionnaire within ten (10) calendar days.

YOU ARE REQUIRED TO SCAN AND EMAIL THE QUESTIONNAIRE TO THE EMAIL ADDRESS PROVIDED BELOW:

Email Address: john.blecher@emcbc.doe.gov

Past Performance Questionnaire

A. Referenced Contract and Client Information

<table>
<thead>
<tr>
<th>Referenced Contract and Client Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Company Being Evaluated:</td>
</tr>
<tr>
<td>Contract Number and Title Being Evaluated:</td>
</tr>
<tr>
<td>Assessment Period for which PPQ covers</td>
</tr>
<tr>
<td>Company’s performance:</td>
</tr>
<tr>
<td>Evaluator’s Name:</td>
</tr>
<tr>
<td>Evaluator’s Address:</td>
</tr>
<tr>
<td>Evaluator’s Phone &amp; Email:</td>
</tr>
<tr>
<td>Evaluator’s Organization:</td>
</tr>
<tr>
<td>Evaluator’s role in the management of the</td>
</tr>
<tr>
<td>contract*:</td>
</tr>
</tbody>
</table>
* The reference point of contact completing and submitting the questionnaire must be the appropriate contract client reference within the Program Office/Project Office and/or the Contracting Office. Only one questionnaire should be submitted per contract reflecting a coordinated response.

B. Rating Scale and Definitions

<table>
<thead>
<tr>
<th>Rating</th>
<th>Definition</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exceptional</td>
<td>Performance meets contractual requirements and exceeds many to the Client’s benefit. The contractual performance of the element or sub-element being evaluated was accomplished with few minor problems for which corrective actions taken by the Contractor were highly effective.</td>
<td>To justify an Exceptional rating, identify multiple significant events and state how they were of benefit to the Client. A singular benefit, however, could be of such magnitude that it alone constitutes an Exceptional rating. Also, there should have been no significant problems identified.</td>
</tr>
<tr>
<td>Very Good</td>
<td>Performance meets contractual requirements and exceeds some to the Client’s benefit. The contractual performance of the element or sub-element being evaluated was accomplished with some minor problems for which corrective actions taken by the Contractor were effective.</td>
<td>To justify a Very Good rating, identify a significant event and state how it was a benefit to the Client. There should have been no significant problems identified.</td>
</tr>
<tr>
<td>Satisfactory</td>
<td>Performance meets contractual requirements. The contractual performance of the element or sub-element contains some minor problems for which corrective actions taken by the Contractor appear or were satisfactory.</td>
<td>To justify a Satisfactory rating, there should have been only minor problems, or major problems the Contractor recovered from without impact to the contract/order. There should have been NO significant problems identified. Note: The Contractor should not be evaluated with a rating lower than Satisfactory solely for not performing beyond the requirements of the contract/order.</td>
</tr>
<tr>
<td>Marginal</td>
<td>Performance does not meet some contractual requirements. The contractual performance of the element or sub-element being evaluated reflects a serious problem for which the Contractor has not yet identified corrective actions. The Contractor’s proposed actions appear only marginally effective or were not fully implemented.</td>
<td>To justify Marginal performance, identify a significant event in each category that the Contractor had trouble overcoming and state how it impacted the Client. A Marginal rating should be supported by referencing the management tool that notified the Contractor of the contractual deficiency (e.g., management, quality, safety, or environmental deficiency report or letter).</td>
</tr>
</tbody>
</table>
Rating Scale and Definitions

<table>
<thead>
<tr>
<th>Rating</th>
<th>Definition</th>
<th>Note</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unsatisfactory</td>
<td>Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element or sub-element contains a serious problem(s) for which the Contractor’s corrective actions appear or were ineffective.</td>
<td>To justify an Unsatisfactory rating, identify multiple significant events in each category that the Contractor had trouble overcoming and state how it impacted the Client. A singular problem, however, could be of such serious magnitude that it alone constitutes an unsatisfactory rating. An Unsatisfactory rating should be supported by referencing the management tools used to notify the Contractor of the contractual deficiencies (e.g., management, quality, safety, or environmental deficiency reports, or letters).</td>
</tr>
</tbody>
</table>

C. Assessment Areas
Please provide explanatory narratives to support your ratings.

1. Quality of Product or Service
Example: How well did the Contractor provide services that met the terms of the contract? How technically accurate were the Contractor deliverables? What was the quality level of the Contractor deliverables? How well did the Contractor perform the contract services in a safe manner?

<table>
<thead>
<tr>
<th>Exceptional</th>
<th>Very Good</th>
<th>Satisfactory</th>
<th>Marginal</th>
<th>Unsatisfactory</th>
<th>Not Applicable</th>
<th>Do Not Know</th>
</tr>
</thead>
</table>

Supporting Narrative:

2. Schedule Compliance
Example: How well did the Contractor provide timely services in accordance with contract schedules? How well did the Contractor take measures to minimize delays that were within its control?

<table>
<thead>
<tr>
<th>Exceptional</th>
<th>Very Good</th>
<th>Satisfactory</th>
<th>Marginal</th>
<th>Unsatisfactory</th>
<th>Not Applicable</th>
<th>Do Not Know</th>
</tr>
</thead>
</table>

Supporting Narrative:

3. Cost Control
Example: How well did the Contractor control its costs?

<table>
<thead>
<tr>
<th></th>
<th>Exceptional</th>
<th>Very Good</th>
<th>Satisfactory</th>
<th>Marginal</th>
<th>Unsatisfactory</th>
<th>Not Applicable</th>
<th>Do Not Know</th>
</tr>
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</table>

Supporting Narrative:

4. Business Relations

Example: How well did the Contractor interface with you to address requests, complaints, and inquiries? If given the choice, would you select this Contractor again to perform your required services?

<table>
<thead>
<tr>
<th></th>
<th>Exceptional</th>
<th>Very Good</th>
<th>Satisfactory</th>
<th>Marginal</th>
<th>Unsatisfactory</th>
<th>Not Applicable</th>
<th>Do Not Know</th>
</tr>
</thead>
</table>

Supporting Narrative:

5. Management of Key Personnel/Staffing

Example: How well did the Contractor allocate the appropriate personnel resources to meet customer needs? How well did the Contractor provide staff on short notice for quick turnaround of personnel?

<table>
<thead>
<tr>
<th></th>
<th>Exceptional</th>
<th>Very Good</th>
<th>Satisfactory</th>
<th>Marginal</th>
<th>Unsatisfactory</th>
<th>Not Applicable</th>
<th>Do Not Know</th>
</tr>
</thead>
</table>

Supporting Narrative:

6. Utilization of Small Business

Example: How well did the Contractor allocate subcontracting opportunities to small businesses?
Supporting Narrative:

7. Regulatory Compliance

Example: How well did the Contractor comply with all terms and conditions in the contract relating to applicable regulations and codes considering compliance with financial, environmental, safety, and labor regulations as well as any other reporting requirements.

Supporting Narrative:

We greatly appreciate your time and assistance in completing this questionnaire.

Additional Comments:

______________________________________________________________________________
______________________________________________________________________________
______________________________________________________________________________
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______________________________________________________________________________
______________________________________________________________________________
Attachment L-5: List of Contracts Terminated for Default, Cure Notices, and Conditional Payment of Fee/Profit/Other Incentive Actions

### Table L-5.1 List of Contracts - Terminated for Default

<table>
<thead>
<tr>
<th>Client Name</th>
<th>Contract No.</th>
<th>Client Point of Contact</th>
<th>POC Information (address, phone no., email address)</th>
<th>Performance Period</th>
<th>Reason for Termination</th>
</tr>
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<tbody>
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Note: Information shall only be provided for contracts terminated for default within the preceding 4 years from the date of the original solicitation issuance date. Additionally, explanatory information may be provided below the table for each contract terminated for default, along with a brief description of the work. If the Offeror does not have any contracts to report, a blank form shall be submitted stating such.

### Table L-5.2. List of Contracts – Cure Notice(s)

<table>
<thead>
<tr>
<th>Client Name</th>
<th>Contract No.</th>
<th>Client Point of Contact</th>
<th>POC Information (address, phone no., email address)</th>
<th>Performance Period</th>
<th>Reason for Cure Notice</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</tbody>
</table>

Note: Information shall only be provided for contracts that are currently being performed or have a period of performance end date within the preceding 4 years from the date of the original solicitation issuance date. Additionally, explanatory information may be provided below the table for each contract cure notice, along with a brief description of the work. If the Offeror does not have any contracts to report, a blank form shall be submitted stating such.

### Table L-5.3. List of Contracts – Conditional Payment of Fee/Profit/Other Incentive Actions

<table>
<thead>
<tr>
<th>Client Name</th>
<th>Contract No.</th>
<th>Client Point of Contact</th>
<th>POC Information (address, phone no., email address)</th>
<th>Performance Period</th>
<th>Reason for CPOF Action</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>

Note: Information shall only be provided for contracts that are currently being performed or have a period of performance end date within the preceding 4 years from the date of the original solicitation issuance date. Additionally, explanatory information may be provided below the table for each contract Conditional Payment of Fee/Profit/Other Incentive action, along with a brief description of the work. If the Offeror does not have any contracts to report, a blank form shall be submitted stating such.
Attachment L-6: Cost and Fee Proposal Worksheets

(See separate file)
Attachment L-7: List of DOE Contracts

Instructions: The Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), and Teaming Subcontractors, shall provide a listing of all DOE prime contracts (including NNSA) currently being performed and/or for contracts that were completed within the last 4 years from the original solicitation issuance date. If the Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), or Teaming Subcontractors provided past performance information on predecessor companies that existed prior to any mergers or acquisitions, the Offeror, to include all members of a teaming arrangement, as defined in FAR 9.601(1), or Teaming Subcontractor(s) shall also provide a list of DOE contracts for the predecessor companies. This includes contracts for which the Offeror and/or Teaming Subcontractor was a member organization in a joint venture, LLC, or other similar entity as a prime Contractor to DOE. The below information should be provided for the entity to which the DOE prime contract was awarded, rather than a proposing entity under this solicitation. Also, this list shall only include DOE prime contracts performed by the proposing entity and any affiliate companies for which an L-3 form is submitted (including within LLC arrangements), and shall not include any contracts performed by other affiliates not otherwise performing any of the submitted L-3 reference contracts (if applicable).

| a. Prime Contract Number: |
| b. Contract Title: |
| c. Prime Contractor Name: |
| d. Prime Contractor DUNS #: |
| e. LLC Member Name: |
| f. LLC Member DUNS #: |
| g. Period of Performance: |
| h. Contract Value: |
| i. Contract Description (brief; 1-2 sentences): |
| j. Contract NAICS: |
Attachment L-8: Offeror’s Proposed Accounting System Information

1. Is the accounting system in accordance with generally accepted accounting principles? Please explain.

2. Does the proposed accounting system provide for:
   a. Proper segregation of direct costs from indirect costs? Please explain.
   b. Identification of and accumulation of direct costs by contract? Please explain.
   c. A logical and consistent method for allocation of indirect costs to intermediate and final cost objectives? (A contract is a final cost objective.) Please explain.
   d. Accumulation of costs under general ledger control? Please explain.
   e. A timekeeping system that identifies employees’ labor by intermediate or final cost objectives? Please explain.
   f. A labor distribution system that charges direct and indirect labor to the appropriate cost objectives? Please explain.
   g. Interim (at least monthly) determination of cost charged to a contract through routine posting of book of accounts? Please explain.
   h. Exclusion from costs charged to government contracts of amounts which are not allowable in terms of FAR Part 3, Contract Cost Principles and Procedures or other contract provisions? Please explain.
   i. Identification of costs by contract line item and by units (as if unit or line items were a separate contract) if required by the proposed contract? Please explain.
   j. Segregation of preproduction costs from production costs (if applicable)? Please explain.

3. Does the proposed Accounting System provide financial information:
   a. Required by clauses concerning limitation of costs (FAR 52.232-20, Limitation of Cost) and/or limitation on payments (FAR 52.216-16, Incentive Price Revision – Firm Target)? Please explain.
   b. Required to support request for progress payments? Please explain.

4. Is the proposed accounting system designed, and are the records maintained in such a manner, that adequate, reliable data are developed for use in pricing follow-on acquisitions? Please explain.

5. Is the accounting system currently in full operation? If not, describe which portions are: (1) in operation; (2) set-up, but not yet in operation; (3) anticipated; or (4) nonexistent?