

**SECTION J – ATTACHMENT J-A  
LISTS A, B AND C**

**LIST A - LIST OF APPLICABLE FEDERAL LAWS & REGULATIONS**

**LIST B - LIST OF APPLICABLE DOE DIRECTIVES**

**LIST C - LIST OF APPLICABLE STATE LAWS AND REGULATIONS**

## **LIST OF APPLICABLE FEDERAL LAWS & REGULATIONS - LIST A**

The federal laws and regulations listed in the table below contain requirements normally relevant to the Contractor scope of work. These laws and regulations, and others, apply regardless whether they are explicitly stated in the Contract. In addition, laws and regulations typically apply to all persons or organizations such as subcontractors, suppliers, and federal employees.

This list does not have to be provided in the Contract, but it may be appended to the Contract for information purposes. Omission of any applicable law or regulation from List A does not affect the obligation of the Contractor to comply with such law or regulation pursuant to DEAR clause 970.5204-2, Laws, Regulations, and DOE Directives. The Contractor must be aware of changes in the Code of Federal Regulations (CFR), Federal Acquisition Regulations (FAR), the United States Code (USC), Public Laws (PL) or other regulatory entities that have applicability to the Department of Energy and that impact the work scope.

The Contractor will notify DOE and a determination will be made regarding modification to the contract. The following table does not contain any specific state laws, regulations, permits, and licenses, etc.

<b>Regulation</b>	<b>Regulation Title</b>
10 CFR Part 719	<a href="#">Contractor Legal Management Requirements</a>
10 CFR Part 707	<a href="#">Workplace Substance Abuse</a>
10 CFR Part 824	<a href="#">Procedural Rules for the Assessment of Civil Penalties for Classified Information Security Violations</a>
10 CFR Part 851	<a href="#">Worker Safety and Health Program</a>
10 CFR Part 1021	<a href="#">National Environmental Policy Act Implementing Procedures</a>
29 CFR 1910	<a href="#">Occupational Safety and Health Standards</a>
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36 CFR Chapter 12, Subchapter B	<a href="#">Records Management</a>
40 CFR Part 61	<a href="#">National Emission Standards for Hazardous Air Pollutants (NESHAPS)</a>
40 CFR Part 300	National Oil and Hazardous Substances Pollution Contingency Plan (National Contingency Plan or NCP)
40 CFR Part 302	<a href="#">Designation, Reportable Quantities, and Notification</a>
40 CFR Part 355	<a href="#">Emergency Planning and Notification</a>
40 CFR Part 372	<a href="#">Toxic Chemical Release Reporting: Community Right-To-Know</a>
40 CFR Part 763	<a href="#">Asbestos</a>
41 CFR Part 101	<a href="#">Federal Property Management Regulations</a>
41 CFR Part 102-36	<a href="#">Disposition of Excess Personal Property</a>
48 CFR Part 970.5203-2	<a href="#">Performance Improvement and Collaboration</a>
48 CFR Part 970.5204-2	<a href="#">Laws, Regulations, and DOE Directives</a>
49 CFR Part 107	<a href="#">Hazardous Materials Program Procedures</a>
49 CFR Part 171	<a href="#">General Information, Regulations, and Definitions</a>
49 CFR Part 172	<a href="#">Hazardous Materials Table, Special Provisions, Hazardous materials Communications, Emergency Response Information, Training Requirements, and Security Plans</a>
5 U.S.C. 552 et seq.	<a href="#">Freedom of Information Act (FOIA)</a>
16 U.S.C. 470 et seq	National Historic Preservation Act
16 U.S.C 1531	Endangered Species Act
33 U.S.C. 1321	Clean Water Act (CWA)
33 U.S.C. 2602 and 2706	Oil Pollution Act (OPA)
42 U.S.C. 2297h-8(a)	<a href="#">Employee Protections</a>
42 U.S.C 4321	<a href="#">National Environmental Policy Act (NEPA)</a>
42 U.S.C. 6901	<a href="#">Resource Conservation and Recovery Act (RCRA)</a>
42 U.S.C. 7101	Department of Energy Organization Act
42 U.S.C. 7384	<a href="#">Energy Employees Occupational Illness Compensation Program Act</a>

	<a href="#">(EEOICPA), Public Law 106-398</a>
42 U.S.C. 9607 and 9620	Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)
44 U.S.C. Chapter 21	<a href="#">National Archives and Records Administration</a>
44 U.S.C. Chapter 29	<a href="#">Records Management NARA/GSA Administration/ General Services Administration</a>
44 U.S.C. Chapter 31	<a href="#">Records Management by Federal Agencies</a>
44 U.S.C. Chapter 33	<a href="#">Disposal of Records</a>
44 U.S.C. Chapter 35	<a href="#">Coordination of Federal Information Policy</a>
44 U.S.C. Chapter 36	<a href="#">Management and Promotion of Electronic Government Services</a>

## LIST OF APPLICABLE DOE DIRECTIVES - LIST B

The Contractor Requirements Documents of the DOE Directives listed below are applicable, in whole or in part, in accordance with Section I Clause entitled “DEAR 970.5204-2 LAWS, REGULATIONS AND DOE DIRECTIVES (DEC 2000)”. DOE directives may be found at <http://www.directives.doe.gov/>.

DOE Directive (Orders, Policies, Manuals, Guidance, and Standards)	Title/Subject Matter
DOE O 142.3A	<a href="#">Unclassified Foreign Visits and Assignments Programs</a>
DOE O 150.1	<a href="#">Continuity Programs</a>
DOE O 151.1C	<a href="#">Comprehensive Emergency Management System</a>
DOE O 200.1A	<a href="#">Information Technology Management</a>
DOE O 203.1	<a href="#">Limited Personal Use of Government Office Equipment Including Information Technology</a>
DOE O 205.1B change 2	<a href="#">Department of Energy Cyber Security Program</a>
DOE O 206.1	<a href="#">DOE Privacy Program</a>
DOE O 206.2	<a href="#">Identity, Credential, and Access Management (ICAM)</a>
DOE O 210.2A	<a href="#">DOE Corporate Operating Experience Program</a>
DOE O 221.1A	<a href="#">Reporting Fraud, Waste, and Abuse to the Office of Inspector General</a>
DOE O 221.2A	<a href="#">Cooperation with the Office of Inspector General</a>
DOE O 226.1B	<a href="#">Implementation of DOE Oversight Policy</a>
DOE O 227.1	<a href="#">Independent Oversight Program</a>
DOE O 231.1B Change 1	<a href="#">Environment, Safety, and Health Reporting</a>
DOE O 241.1B	<a href="#">Scientific and Technical Information Management</a>
DOE O 243.1B Change 1	<a href="#">Records Management Program</a>
DOE O 251.1C	<a href="#">Departmental Directives Program</a>
DOE O 252.1A Change 1	<a href="#">Technical Standards Program</a>
DOE O 311.B	<a href="#">Equal Employment Opportunity and Diversity Program</a>
DOE O 350.1 Change 4	<a href="#">Contractor Human Resource Management Programs</a>
DOE O 413.1B	<a href="#">Internal Control Program</a>
DOE O 414.1D Change 1	<a href="#">Quality Assurance</a>
DOE O 422.1 Change 1	<a href="#">Conduct of Operations</a>
DOE O 430.1B Change 2	<a href="#">Real Property Asset Management</a>
DOE O 436.1	<a href="#">Departmental Sustainability</a>
DOE O 442.1A	<a href="#">Department of Energy Employee Concerns Program</a>
DOE O 442.2	<a href="#">Differing Professional Opinions for Technical Issues Involving Environment, Safety and Health</a>
DOE O 450.2	<a href="#">Integrated Safety System Management</a>
DOE P 450.4A	<a href="#">Integrated Safety Management Policy</a>
DOE O 451.1B Change 3	<a href="#">National Environmental Policy Act Compliance Program</a>

<b>DOE Directive (Orders, Policies, Manuals, Guidance, and Standards)</b>	<b>Title/Subject Matter</b>
DOE O 458.1 Change 3	<a href="#">Radiation Protection of the Public and the Environment</a>
DOE P 470.1A	<a href="#">Safeguards and Security Program</a>
DOE O 470.4B – Change 1	<a href="#">Safeguards and Security Program</a>
DOE O 471.1B	<a href="#">Identification and Protection of Unclassified Controlled Nuclear Information</a>
DOE O 471.3 Change 1	<a href="#">Identifying and Protecting Official Use Only Information</a>
DOE O 471.6, Admin Chg. 1	<a href="#">Information Security</a>
DOE O 522.1	<a href="#">Pricing of Departmental Materials and Services</a>
DOE O 523.1	<a href="#">Financial Management Oversight</a>
DOE O 534.1B	<a href="#">Accounting</a>
DOE O 580.1A Change 1	<a href="#">Department of Energy Personal Property Management Program</a>
DOE Personal Property Letter 970-3	<a href="#">High-Risk Personal Property</a>
DOE-STD-1027-92, Change 1	<a href="#">Hazard Categorization and Accident Analysis Techniques</a>
DOE-STD-1153-2002	A Graded Approach to Evaluating Radiation Doses to Aquatic and Terrestrial Biota
DOE-STD-1190-2007	<a href="#">Illness and Injury Surveillance Program Guidelines</a>
DOE-STD-3006-2010	<a href="#">Planning and Conducting Readiness Reviews</a>

**LIST OF APPLICABLE STATE LAWS AND REGULATIONS- LIST C**

The state laws and regulations listed in the table below contain requirements relevant to the Contractor scope of work. These laws and regulations, and others, apply regardless whether they are explicitly stated in the Contract. In addition, laws and regulations typically apply to all persons or organizations such as subcontractors, suppliers, and federal employees.

<b>State Law or Regulation</b>	<b>Title/Subject Matter</b>
New Mexico Resources Trustee Act (NRTA)	NMSA 1978, 75-7-1 to -5