

Transportation Safety & Operations Compliance Assurance Program Self-Assessment Review Documents

9. Contractor Transportation Security Plans

Standard: A Transportation Security Plan has been developed and implemented using approved procedures, in compliance with all requirements of applicable international, federal, state, tribal, and local laws, rules, and regulations and DOE Orders and policy.	References: 49 CFR Parts 172.800, 802.
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Self-Assessment/Evaluation Actions	Response			Comments <small>(Specify where found in Site procedures)</small>
	Y	N	N/A	
A. GENERAL QUESTION SECTION				
1. Does the contractor offer for transportation, in commerce or transport in commerce, hazardous materials that conform to the requirements of 49 CFR, Parts 172.800, 802?				
<i>If you answered "Yes" to the above question, please complete the appropriate questions in the sections below.</i>				
B. COMPONENTS OF A SECURITY PLAN				
1. The security plan must include an assessment of possible transportation security risks for shipments of the hazardous materials listed in 49 CFR 172.800 and appropriate measures to address the assessed risks. Specific measures put into place by the plan may vary commensurate with the level of threat at a particular time. At a minimum, a security plan must include the following elements:				
a. Personnel security. Measures to confirm information provided by job applicants hired for positions that involve access to and handling of the hazardous materials covered by the security plan. Such confirmation system must be consistent with applicable Federal and State laws and requirements concerning employment practices and individual privacy.				
b. Unauthorized access. Measures to address the assessed risk that unauthorized persons may gain access to the hazardous materials covered by the security plan or transport conveyances being prepared for transportation of the hazardous materials covered by the security plan.				
c. En route security. Measures to address the assessed security risks of shipments of hazardous materials covered by the security plan en route from origin to destination, including shipments stored incidental to movement.				
2. The security plan must be in writing and must be retained for as long as it remains in effect. Copies of the security plan, or portions thereof, must be available to the employees who are responsible for implementing it,				

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consistent with personnel security clearance or background investigation restrictions and a demonstrated need to know. The security plan must be revised and updated as necessary to reflect changing circumstances. When the security plan is updated or revised, all copies of the plan must be maintained as of the date of the most recent revision.				