

MANAGEMENT (MG)
CRAD 4

OBJECTIVE

MG.3 Feedback information on the effectiveness of the ISMS is gathered, opportunities for improvement are identified and implemented, line and independent oversight is conducted, and, if necessary, regulatory enforcement actions occur. (CE I-6, CE I-7, CE I-8)

Criteria

1. Contractor procedures describe clear roles and responsibilities to provide feedback and continuous improvement including line management responsibility for safety.
2. Contractor procedures ensure that competence is commensurate with the responsibilities to provide feedback and continuous improvement.
3. Contractor procedures ensure that priorities are balanced to ensure feedback is provided and continuous improvement results.
4. Contractor procedures require line and independent oversight or assessment activities at all levels. Oversight and assessment activities verify that work is performed within agreed upon controls.
5. Contractor procedures ensure oversight or assessment results are managed to ensure lessons are learned and applied; that issues are identified and managed to resolution; that fundamental causes are determined and effective corrective action plans are developed and implemented.
6. Contractor procedures ensure that performance measures or indicators and performance objectives are developed in coordination with DOE as required. Contractor procedures require effective management and use of performance measures and objectives to ascertain the status of the ISMS.
7. Contractor procedures provide for regulatory compliance and enforcement as required by rules, laws, and permits such as PAAA, NEPA, RCRA, CERCLA, etc.

APPROACH:

Record Review: (List of documents with title, date, revision)

Interviews: (List of interviews conducted by functional title)

Observations: (If any)

CONCLUSION:

The objectives were met / not met. (List statement of the objectives being met or not met.)

Deficiencies:

Areas for Improvement:

Noteworthy Practices:

Submitted: _____ Review Team Member	Approved: _____ Review Team Leader
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