

EM-LA FY16 Assessment Schedule

Assessment Title	Scope	Assessed AD (if LANL)	Is this Req'd or Risk-Based	Applicable Directive/ Reference	Assess of Self or Cont.	QTR	Estimated Start Date	Month Expected to be Complete	When Last Performed	Fed. Assess Lead	Team Members	Team member from other Orgs
USQ (Partner w/NA-LA)	Evaluate LANS USQ procedure and obtain a random sample of USQ actions to determine adherence to requirements.	ADHHNO	AIB JON 24 EM-LA-24-3	10 CFR 830.203 Unreviewed Safety Questions Process; DOE G 424.1-1B Admin Chg. 1, Implementation Guide for Use in Addressing Unreviewed Safety Question Requirements; SBP 112-3 LANL USQ/USI; SBP 113-3 LANL USI Process	Cont.	2nd QTR	31-Dec-15	March	N/A	M. Langford	G. Henckel, J. Ferguson EM-LA Nuclear Engineer	NA-LA Team Members
Area G WAC (Partner w/NA-LA)	Evaluate the Area G WAC to requirements, configuration control, implementation, and staff knowledge of requirements at Area G and generators across LANL.	ESH	AIB JON 3 EM-LA-3-10	DOE O 435.1 Radioactive Waste Management; DOE M 435.1-1 Radioactive Waste Management Manual; DOE O 5400.5 Radiation Protection of the Public and the Environment; P930-1 LANL Waste Acceptance Criteria; P930-2 Radioactive Waste Certification Program	Cont.	3rd QTR	15-Apr-16	June	N/A	P. Maggiore	L. Bishop, A. Enriquez, C. Bateman, A. Barras, K. Gallegos (Support Cont.)	A. Nash (NA-LA)
Legacy Waste Compliance w/ DOE O 435.1 and 422.1	Assess the implementation of DOE O 435, training, procedures and field execution.	ADEP	AIB JON 3 EM-LA-3-19	DOE M 435.1 Radioactive Waste Management; DOE G 435.1-1 Chapter 4 Low-Level Waste Requirements. DOE O 422.1 Conduct of Operations	Cont.	4th QTR	1-Jul-16	September	N/A	L. Bishop	A. Duran J. Ferguson, R. Benedict, K. Gallegos (Support Cont.)	A. Nash (NA-LA)
IP Program	Evaluate the execution of the IP program from procurement to project management and ensure liabilities are adequately addressed to reduce risk to the Government.	ADEP	Risk (M)	NPDES Permit NM0030759 Issued 9/30/2015, Correction of Typo - Appendix B, Pg. 9 - 10/12/2010	Cont.	2nd QTR	15-Jan-16	March	N/A	B. Hennesy	C. Rodriguez H. Shen, K. Armijo, D. Krantz, K. Gallegos (Support Cont.)	J. Arnsward (NA-LA)
R/R Interface Compliance	Assess the delineation of Roles and Responsibilities, clear lines of authorities, integration, and communications across ADESH and ADEP.	ADEP/ESH	Risk (H)	LANL Governing Policies, Rev. 3, LANL P313, Rev. 9 Roles, Responsibilities, Authorities, and Accountability, LANL P351, Rev. 0 Permits and Requirements Identification	Cont.	2nd QTR	20-Jan-15	April	N/A	B. Hennesy	G. Falance, L. Churchill, K. Gallegos (Support Cont.)	P. Maggiore J. Arnsward (NA-LA)
EM-LA Oversight Performance	Assess the level of oversight from cradle to grave to include: documentation and communications to the contractor, trending and analysis, lessons learned, and the capability of the oversight staff.	EM-LA	AIB JON 3 EM-LA-3-21	DOE O 227.1 Independent Oversight Program, DOE G 226.1-2A Federal Line Management Oversight of Department of Energy Nuclear Facilities, DOE O 226.1B Implementation of Department of Energy Oversight Policy, DOE P 226.1B Department of Energy Oversight Policy, EM-LA Oversight, EM-LA Quality Implementation	Self	4th QTR	Assessment will begin 60 days after the approval of the EM-LA Oversight Plan	Assessment duration will be 90 days.	N/A	D. Nickless	R. Massey, G. Rael, K. Walker, K. Gallegos (Support Cont.)	
EM-LA Business Processes	Evaluate EM-LA's culture change for effectiveness/improvement. Evaluate EM-LA's training of Policies, Plans, and Procedures for compliance and effectiveness. Evaluate EM-LA's staff compliance with Policies, Plans, and Procedures. Identify areas of improvement.	EM-LA	Req'd (6 mo follow-up)	DOE O 414.1D Quality Assurance, EM-LA Business Processes Listing, SOPP 00.01 Plan and SOPP Preparation and Maintenance.	Self	2nd QTR	5-Jan-16	April	31-Jul-15	J. Hackett	B. Pfaff, A. Barras, D. Wolfe, K. Gallegos	

1st QTR

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2nd QTR

4

3rd QTR

1

4th QTR

2

Submitted By:

Gaeton Falance 10/19/15
Gaeton Falance, EM-LA Assessment Program Manager Date

Approved By:

Douglas E. Hintze 19 OCT 2015
Douglas E. Hintze, EM-LA Manager Date