

Management System: Safeguards and Security

Subject Area: Program Management and Support

Procedure 5: Establishing Access Authorizations for Certain Key Management Personnel and Exclusion

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1.0 Applicability

This procedure is applicable to personnel who award/administer contracts requiring personnel security clearances (access authorizations) and facility clearances, and to security personnel who coordinate the processing of those clearances through the Cognizant Security Office (CSO), the Savannah River Operations Office.

2.0 Required Procedure

Prior to the issuance a facility security clearance (FCL) requiring personnel security clearances (access authorizations), certain officials, referred to as Key Management Personnel (KMP), must possess personnel security clearances, or be in the process of obtaining personnel security clearances, commensurate with the level of the FCL. Depending upon the company/organization's business structure, KMP may include owners, officers, directors, partners, regents, trustees, and executive staff.

NOTE: DOE Acquisition Regulations prohibit the award of a classified contract until an FCL has been granted and issued. A company/organization's KMP are identified in the Foreign Ownership, Control, or Influence (FOCI) package submitted by an entity seeking award of a classified contract.

Step 1	As the CSA, the Savannah River Operations (SRO) Office identifies those KMP requiring personnel security clearances, and determines which KMP members already possess the appropriate clearance.
Step 2	Upon notification that specific individuals, in their capacity as a member of the KMP cadre, require a clearance, the EMCBC security specialist prepares a SR-253 Clearance Request form, and submits the form to the SRO Personnel Security function. If a KMP member has an active clearance in connection with

	other contracts, a clearance extension may be the action requested via the SR-253 form.
Step 3	For KMP members who do not require personnel security clearances, SRO may request that the company/organization submit formal resolutions of exclusion issued by the governing body.

3.0 References

- [DOE O 470.4B, Safeguards and Security Program](#)
- [DOD 5220.22-M, National Security Program Operating Manual](#)

4. Records Generated

Records generated through implementation of this procedure are identified as follows, and are maintained by the Office of Technical Support & Asset Management in accordance with the EMCBC Organizational File Plan:

Records Category Code	Records Title	Responsible Organization	QA Classification (Lifetime, Non-Permanent or N/A)
ADM-18-08	Protection Program Administrative Records	Office of Technical Support & Asset Management	NA